



# California Dental Practice Act

Infection Control OSHA Dental Practice Act HIPAA

#### **Objectives**

- ✓ Understand Scope of Practice
- ✓ Learn about Duties and Setting for Dental Auxiliaries
- ✓ Cite Mandatory Reporter Obligations

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In the dental field since 1972, Leslie helps simplify complex regulations. She provides in office training, compliance audits, consulting, workshops, and mock inspections. For the 4<sup>th</sup> year in a row, she has been listed as a "Leader In Consulting" by Dentistry Today. She is authorized by the Department of Labor, The Academy of General Dentistry, and the California Dental Board to provide continuing education. Leslie is the founder of Leslie Canham and Associates.

#### California Dental Practice Act 2015

- Scope of Practice
- Violations
- · Citations, fines and license actions
- · Rx regulations
- Mandatory Reporter Obligations
- Continuing Education Requirements
- Duties and Settings for Dental Auxiliaries
- Required Posting

#### What's New?

- 2 Required Posters Consumer notification
- Dental Board increases fees for license renewal -CURES
- Outstanding tax obligation affects lic renewal
- April 2010 NewContinuing Education Regulations
- Fingerprinting by Live Scan

#### 2 Permits for Dental Assistants

- Orthodontic Assistant Permit (OAP)
- Dental Sedation Assistant Permit (DSAP)

#### **Dental Assistant Permits**

DAs, RDAs and RDAEFs may obtain

#### Orthodontic Assistant Permit

- Must have 12 months work experience as DA, RDA, or RDAEF
- Take 84 hour board approved orthodontic assistant course
- Pass a state administered written exam
- Complete 25 CE every 2 yrs.

#### Dental Sedation Assistant Permit

- Must have 12 months work experience as DA, RDA, or RDAFE
- Take 110 hour board approved dental sedation assistant course
- Pass a state administered written exam
- Complete 25 CE every 2 yrs

# Unlicensed Dental Assistants hired after 1-1-2010 must take

- 1. An 8 Hour Infection Control Course
- 2. California Dental Practice Act
- 3. CPR

The employer is responsible for ensuring that unlicensed DA who is in his or her continuous employ for 120 days or more completes within a year of the date of employment

#### **Unprofessional Conduct**

Practicing with an expired license

Failure to follow the Infection Control standards

Insurance fraud

Fee by fraud or misrepresentation

Aiding/abetting unlicensed person to practice dentistry

Aiding/abetting licensed person to practice dentistry unlawfully

## Commercial patient financing products as of 1-1-10

- 1. DDS must provide a treatment plan to the pt
- 2. DDS or staff must obtain the pt's signature on a specified written disclosure
- DDS may only apply charges to credit card or credit line that was established before the treatment was rendered if the patient is 1st provided with a list of services being pd for.
- 4. No arrangements for credit products while pt under the influence of gen anes, consc sedation or N20.
- 5. DDS must refund lender w/in 15 days of pt's request any payment rec'd for treatment not rendered.

# Fictitious Name Permit and Name change regulations

Must have a Fictitious name permit issued by the Dental Board-Fictitious business license does not meet this requirement.

All licensees must notify the Dental Board or Dental Hygiene Committee within 10 days of a personal name change. (Hyg must notify of email/address chg)

DDS must register place of practice and change of place of place within 30 days to Dental Board

#### Name Tag Requirements

All licensees must wear a name tag (18 pt type) Name and license type UNLESS the license is displayed at the facility.

The name of every person employed in the practice of dentistry must be posted in a conspicuous place in the facility

Notice to Consumer Posters (DDS & HYG)

#### **Mandatory Reporter Obligations**

- · Domestic Violence-Physical Assault
- Suspected Child Abuse/neglect
- Suspected Elder Abuse/neglect

Report within 36 hours-failure to report is a misdemeanor. Possible fines \$1000 or 6 months jail time.

#### **Dental Licenses and Permits**

 Licenses expire every 2 years- If your birth year is an even number your license ALWAYS expires in an even year- in your birthday month. If birth year is odd number year/license will expire in odd year.

DDS Fee increase \$6/yr for funding CURES (statewide data base admin by DOJ)

#### Dental License Renewal

#### **Continuing Education**

Dentists 50 hours RDAs and Hygienists 25 hours RDHAPs 35 hours

DSAP and OAP

Permit Holders 25 hours

2 hrs of California Dental Practice Act2 hrs of Infection ControlCPR-Basic Life Support

You Can Think Your Practice is in Compliance... or You Can KNOW IT!

**Required Posters, Signs and Notices** 

Notice to Consumers: Dental Board, Dental Hygiene Committee, Consumer Affairs

Prop 65 (Amalgam and Nitrous Oxide)

**Dental Material Fact Sheet** 

Employment Posters -Dentists must post sick leave law poster by Jan. 1, 2015

**Dental Board Posters** 

Radiation Safety Posters PLUS WRITTEN PLAN "RADIATION SAFETY IN A DENTAL PRACTICE"

**Laser Signs** 

**OSHA Signs** 

**OSHA** 

OSHA has designed a new, standardized format for Safety Data Sheets (SDS) formerly called Material Safety Data Sheets (MSDS). The SDS will have 16 specific sections designed to ensure consistency across industries and nations. Employers must train their workers in the new label and data sheet requirements by December 1, 2013. This course will provide you with the tools needed to conduct this training.

Review of what OSHA Training/Recordkeeping forms are required.

Discussion of Aerosol Transmissible Diseases" (ATDs), employee training requirements and what written plans addressing ATDs must be part of the office OSHA manual.

Conduct Your Own Mock Inspection. Is your practice fully compliant?

**Minimum Standards for Infection Control** 

What written protocols/posters are required

What's coming our way with new CDC Guidelines

#### Category 1 and 2 eliminated

80% of hours must be courses in the actual delivery of dental services Examples: Infection Control, DPA, OSHA, HIPAA and clinical procedures

Up to 20% may be used in courses such as office management

Examples: Recall systems, HR, Communications, Computer systems, Practice Mgmt, etc.

#### Live CE vs. Home Study

50% of Continuing Education can be Home Study

50% must be live courses (classroom, live telephone conferencing, live video conferencing, webinars and live workshop demonstration).

NEW: AGD PACE and ADA CERP courses accepted as long as they meet Dental Board criteria.

#### RDAs licensed after 1-1-10

Must obtain a Pit and Fissure Sealant Certificate in order to renew their license.

RDAs licensed before 2010 don't need the certificate to renew, only if they wish to perform the duty.

#### **Outstanding Tax Obligations**

Effective July 1, 2012, the Dental Board of CA is required to deny an application for licensure or suspend a license/certificate/registration if a licensee or applicant has outstanding tax obligations due to the Franchise Tax Board (FTB) or the State Board of Equalization

#### **Table Of Permitted Duties**

- "<u>N</u>"= Means that the auxiliary is NOT permitted to perform the duty
- "D"= Means direct supervision
- "<u>DD</u>"=Means DDS decides the level of supervision Direct or General
- "<u>C</u>" = Means under supervision of DDS/RDH in certain settings
- "<u>G</u>" = Means general supervision

### TABLE OF PERMITTED DUTIES – DENTAL HYGIENE

<u>"05"</u> means RDH or RDHEF may perform RDA duties if initial license was issued on or before December 31, 2005. If licensed after January 1, 2006, the Hygienist must obtained RDA license to perform RDA duties.

<u>"WS"</u> means the RDH may perform this function without supervision of a dentist. "Without supervision" differs from "general supervision" in that the dentist has not examined the patient prior to the provision of the service

# NOTICE

Dental Board of California Dentists are licensed and regulated by the

(877) 729-7789 www.dbc.ca.gov

**NOTIFICATION TO CONSUMERS** Dental Hygienists are licensed and regulated by the Dental Hygiene Committee of California

(916) 263-1978 www.dhcc.ca.gov

#### THIS POSTER MUST BE DISPLAYED WHERE EMPLOYEES CAN EASILY READ IT

(Poster may be printed on 8 ½" x 11" letter size paper)

# HEALTHY WORKPLACES/HEALTHY FAMILIES ACT OF 2014 PAID SICK LEAVE

#### **Entitlement:**

- An employee who, on or after July 1, 2015, works in California for 30 or more days within a year from the beginning of employment is entitled to paid sick leave.
- Paid sick leave accrues at the rate of one hour per every 30 hours worked, paid at the employee's regular wage rate. Accrual shall begin on the first day of employment or July 1, 2015, whichever is later.
- Accrued paid sick leave shall carry over to the following year of employment and may be capped at 48 hours or 6 days. However, subject to specified conditions, if an employer has a paid sick leave, paid leave or paid time off policy (PTO) that provides no less than 24 hours or three days of paid leave or paid time off, no accrual or carry over is required if the full amount of leave is received at the beginning of each year in accordance with the policy.

#### Usage:

- An employee may use accrued paid sick days beginning on the 90<sup>th</sup> day of employment.
- An employer shall provide paid sick days upon the oral or written request of an employee for themselves or a family member for the diagnosis, care or treatment of an existing health condition or preventive care, or specified purposes for an employee who is a victim of domestic violence, sexual assault, or stalking.
- An employer may limit the use of paid sick days to 24 hours or three days in each year of employment.

Retaliation or discrimination against an employee who requests paid sick days or uses paid sick days or both is prohibited. An employee can file a complaint with the Labor Commissioner against an employer who retaliates or discriminates against the employee.

For additional information you may contact your employer or the local office of the Labor Commissioner. Locate the office by looking at the list of offices on our website <a href="http://www.dir.ca.gov/dlse/DistrictOffices.htm">http://www.dir.ca.gov/dlse/DistrictOffices.htm</a> using the alphabetical listing of cities, locations, and communities. Staff is available in person and by telephone.

#### TABLES OF PERMITTED DUTIES (CDA 2/2011) Reprinted with permission of the California Dental Association-(Partial Table)

Following are two separate partial tables of duties. The first table outlines the functions which Dental Assistants (DA), Registered Dental Assistants in Extended Functions (RDAEF), Orthodontic Assistants (OA), and Dental Sedation Assistants (DSA) are allowed to perform in California. The second table lists the duties Registered Dental Hygienists (RDH), Registered Dental Hygienists in Extended Functions (RDHEF), and Registered Dental Hygienists in Alternative Practice (RHDAP) are allowed to perform. These tables are intended to provide summary information to interested parties. It is not intended to cover all aspects of applicable laws or provide a substitute for reviewing the laws that are cross-referenced below. It is highly recommended that applicants and licensees review the actual text of the laws cited at the link provided below. If a duty is not listed in the sections of law cited below, the dental auxiliaries are NOT allowed to perform the duty. Under each category of dental auxiliary is one of the following notations:

- "N" means that the dental auxiliary is NOT permitted to perform the duty.
- "D" means that the dental auxiliary may perform the duty under the <u>Direct supervision of a dentist</u>, which means supervision of dental procedures based on instructions given by a licensed dentist who must be physically present in the treatment facility during the performance of those procedures. The duty must be performed pursuant to the order, control and full professional responsibility of the supervising dentist. Procedures performed by Registered Dental Assistants in Extended Functions must be checked and approved by the supervising dentist prior to dismissal of the patient from the office of said dentist.
- <u>"C"</u> means that the dental auxiliary may perform the duty in the <u>specified setting</u> under the supervision of a dentist, Registered Dental Hygienist, or Registered Dental Hygienist in Alternative Practice.
- <u>"G"</u> means that the dental auxiliary can perform the duty under the <u>General supervision of a dentist</u>, which means based on instructions given by a licensed dentist, but not requiring the physical presence of the supervising dentist during the performance of those procedures. This is not the same as the "Without Supervision" designation in the dental hygiene table.
- <u>"DD"</u> The supervising licensed <u>dentist shall be responsible for determining</u> whether each authorized procedure performed by a registered dental assistant should be performed under general or direct supervision, except as provided in Section 1777.

The sections of law noted below are contained in the Dental Practice Act located in Chapter 4, Division 2 of the California Business and Professions Code (BPC) and in Title 16, Sections 1085-1089 of the California Code of Regulations (CCR). For the actual text of the laws, the following link will take you to the page on the Dental Board's web site http://www.dbc.ca.gov/lawsregs/laws.shtml.

#### PROCEDURES DENTAL AUXILIARIES ARE NOT ALLOWED TO PERFORM

DUTY	Section of Applicable Law
Diagnosis and comprehensive treatment planning	BPC 1750.1 and 1908 16CCR 1090
Prescribing medication	BPC 1750.1 and 1908 16CCR 1090
Restorations, permanent – placing, condensing, carving, or removal (except for RDAEFs licensed on or after 1/1/2010)	BPC 1750.1 and 1908 16CCR 1090 RDAEFs: 1753.5 and 1753.6
Surgery – or cutting on hard and soft tissue including, but not limited to, the removal of teeth and the cutting and suturing of soft tissue	BPC 1750.1 and 1908 16CCR 1090

#### TABLE OF PERMITTED DUTIES - DENTAL ASSISTING

DUTY	Section of Applicable Law	D A	R D A	R D A E F	O A	D S A
Anesthesia, general monitor patients undergoing conscious sedation or general anesthesia utilizing data from noninvasive instrumentation such as pulse oximeters, electrocardiograms, capnography, blood pressure, pulse, and respiration rate monitoring devices. Evaluation of the condition of a sedated patient shall remain the responsibility of the dentist or other licensed health care professional authorized to administer conscious sedation or general anesthesia, who shall be at the patient's chairside while conscious sedation or general anesthesia is being administered.  Also see Sedation.	BPC 1750.5	N	N	N	N	О
Archwires – place ligature ties and archwires	BPC 1750.3 1752.4 1753.5 1753.6	N	D D	D D	D	N
Archwires – remove ligature ties and archwires	BPC 1750.1 1750.5 1750.3 1752.4 1753.5 1753.6	D	D D	D D	D	D
Bases place bases, liners, and bonding agents	BPC 1752.4 1753.5 1753.6	N	D D	D D	N	N

#### **TABLE OF PERMITTED DUTIES - DENTAL HYGIENE**

<u>"05"</u> means that a Registered Dental Hygienist or Registered Dental Hygienist in Extended Functions may perform the registered dental assisting duty under the same level of supervision if initial license was issued on or before December 31, 2005 or, if initial license was on or after January 1, 2006, the hygienist has completed the required education, or training, examination, and has obtained a license as a Registered Dental Assistant.

<u>"WS"</u> means the Registered Dental Hygienist may perform this function <u>without supervision of a dentist</u>. "Without supervision" differs from "general supervision" in that the dentist has not examined the patient prior to the provision of the service.

DUTY	Section of Applicable Law	R D H	R D H E F	R D H A P
Anesthesia, local – administer (after completing a Dental Hygiene Committee of California-approved course)	BPC 1909 1921	D	D	D
Archwires – place and remove ligature ties and archwires (see Ligature ties)	BPC 1907 1921	G	G	G
Bases place bases, liners, and bonding agents	BPC 1907 1921	05	05	05
Bite registrations – take facebow transfers and bite registrations	BPC 1907 1921	G	G	G
Bleaching agents apply and activate bleaching agents using a nonlaser light-curing device	BPC 1910 1921	G	G	G
Bonding chemically prepare teeth for bonding  RDHEF – prepare enamel by etching for bonding; apply etchant for bonding restorative materials	BPC 1907 1921	05	05	05
<b>Bonding</b> – prepare enamel by etching for bonding; apply etchant for bonding restorative materials	16CCR 1089	N	D	N
Bonding agents place bases, liners, and bonding agents	BPC 1907	05	05	05
Caries detection devices and materials, automated use of automated caries detection devices to gather information for diagnosis by the dentist	BPC 1914 1921	G	G	G
Cement, excess on surfaces of teeth remove with a hand instrument	BPC 1907 1921	G	G	G
Cement, excess remove from surfaces of teeth undergoing orthodontic treatment, with an ultrasonic scaler.	BPC 1907 1921	G	G	G
Computer-aided design (CAD), milled restorations obtain intraoral images for computer-aided design, milled restorations	BPC 1907 1921	05	05	05
Cord retraction of gingiva for impression procedures	16CCR 1089	N	D	N

#### Resources

Dental Unit Waterline Treatment Sterisil, Inc. Citrisil products and waterline filters 719-622-7200 www.sterisil.com

PureLife Dental 201 Santa Monica Blvd., Ste. 400 Santa Monica, CA 90401 877-777-3303 www.PureLifeDental.com

Instadose X-ray Monitoring Badges Online
Program
ICCARE
P.O. Box 19249
Harvest Station Postal Store
Irvine, CA 92623-9998
Phone 877-477-5486
www.iccare.net

Infection Control Guidelines

MMWR Report

<a href="http://www.cdc.gov/oralhealth/">http://www.cdc.gov/oralhealth/</a>
InfectionControl/guidelines/index.htm

Guideline for Disinfection and Sterilization in Healthcare Facilities, 2008
<a href="http://www.cdc.gov/hicpac/pdf/guidelines/Disinfection">http://www.cdc.gov/hicpac/pdf/guidelines/Disinfection</a> Nov 2008.pdf

Centers for Disease Control
U.S. Dept. Of Health &Human ServicesVoice Information Services
404-332-4565
www.cdc.gov

American Dental Association 1-800-621-8099 www.ada.org

California Dental Association 800-736-8702 OSHA "Regulatory Compliance Manual" www.cda.org

Dental Board of California 916-263-2300 www.dbc.ca.gov Dental Hygiene Committee of Calif www.dhcc.ca.gov 916-263-2595 National Institute of Occupational Health and Safety (NIOSH) www.cdc/gov/niosh

Organization for Safety, Asepsis and Prevention (OSAP) 800-298-OSAP www.osap.org
BOOK "From Policy To Practice"

California Dept. of Health Services Radiologic Health Branch 916-327-5106-Sacramento 213-351-7897-LA County 619-338-29-San Diego County

CAL/OSHA Consultation Service www.dir.ca.gov

OSHA Pressure Vessel Unit No. Calif- 510-622-3066 So. Calif- 714-567-7208

National HIV/AIDS Clinicians Consultation Center HIV Consultation Service Warmline 800-933-3413 National Clinicians' Post-Exposure Prophylaxis Hotline 24/7 PEPline 888-HIV-4911

Website for Hepatitis Information www.hepatitisneighborhood.com

U.S. Air Force Dental Evaluation and Consultation Services (formerly USAF Dental Investigative Services) decs.nhgl.med.navy.mil