Diagnosis and Management of Malignant Pleural Effusions

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Disclosures

- Consultant agreements with Olympus America and VIDA Diagnostics
- Site primary investigator for PulmonX industry-sponsored trial

"... as we know, there are known knowns; there are things we know we know. We also know there are known unknowns; that is to say we know there are some things we do not know. But there are also unknown unknowns – the ones we don't know we don't know."

- Donald Rumsfeld







Based on the CXR findings, what is the most appropriate initial diagnostic step?



- A. Navigation bronchoscopy
- B. CT guided TTNA
- C. EBUS TBNA
- D. U/S guided thoracentesis
- E. PET-CT scan

Guidelines

- 1. Adequate tissue should be obtained to accurately define the histologic type and perform molecular analysis when applicable. (ACCP, ESMO, NCCN, NICE)
- 2. Biopsy the pathologic site that would confer the highest stage, i.e. to biopsy a suspected metastasis. (e.g. pleural effusion M1a rather than a pulmonary lesion) (NCCN)

Concomitant staging is preferential because it avoids additional biopsies or procedures.





Epidemiology

126,825 admissions for MPE in the United States in 2012

 Heathcare Cost and Untilization Project-Nationwide Inpatient Sample (HCUP-NIS)

 Mean age = 68.0 years (IQR 58.4-77.2)

55.8% female

Primary Malignancy	Total	Female	Male
Lung	37.8%	32.4%	44.5%
Breast	15.2%	26.8%	0.4%
GI	11.0%	8.1%	14.5%
Gynecologic	9.0%	16.1%	0%
Hematologic	11.2%	9.1%	13.8%
Other	22.2%	14.0%	32.5%
Unknown	11.2%	11.2%	11.3%
		Taghizadeh, et	al. Chest 201



Pleural Fluid

- 5-20 mL of pleural fluid
- 5-10 L of flow / day
- >75% of fluid absorbed through parietal pleura
- Normal fluid absorption can increase 20-30x

STEET WOL	Lung
State and State	rulmonary Pulmonary Pulmonary Aveolus Viccoral Interstitium
dapted from Miserocchi. Eur Resp J 1	997;10:219-215





Case Presentation #2

You plan to perform an U/S guided thoracentesis. On further history, the patient is on warfarin for prior PE, but is clinically asymptomatic. What is the most appropriate diagnostic step?

- A. Navigation bronchoscopy
- B. Percutaneous TTNA
- C. EBUS TBNA
- D. U/S guided thoracentesis
- E. PET-CT scan

Case Presentation #2 cont'd

You plan to perform an U/S guided thoracentesis. On further history, the patient is on warfarin for prior PE, but is clinically asymptomatic. What is the most appropriate diagnostic step? symptomatic

- A. Navigation bronchoscopy
- B. Percutaneous TTNA
- C. EBUS TBNA
- D. U/S guided thoracentesis
- E. PET-CT scan
- F. Reverse anticoagulation then U/S guided thoracentesis
- G. Refer to IR for thoracentesis

Ca	omplications of T	TNA					
Co	omplications	<u>SIR / ACR</u>	1 <u>Core</u> 2	FNA ²	<u>TTNA</u> ³		
	Overall		38.8%	24.0%			
	РТХ	12-45%	25.3%	18.8%	15.0%		
	PTX w/ Intervention	2-15%	5.6%	4.3%	6.6%		
	Hemorrhage		18.0%	6.4%	1.0%		
	Hemoptysis	1-2%	4.1%	1.7%			
	² Meta-analysis • Core: 32 studies, 8,133 procedures • FNA: 17 studies, 4,620 procedures						
:	³ 2006 data from 2 databases for	or CA, FL, MI, a	and NY				
	 ²2006 data from 2 databases for CA, FL, MI, and MY 15,865 patients ¹ Gupta S, et al. J Vasc Interv Radiol 2010;2 ² Heerink, et al. Eur Radiol 2017;2 ³ Wiener, et al. Ann Intern Med 2011;155(3) 						

Complications of G	uided Bro	onchoso	юру)
Complications	<u>AQuIRE¹</u>	Mixed ²	R-EBUS ³	NAVIGATE ⁴	
Overall	2.2%			7.9%	
РТХ	1.7%	1.5%	1.0%	4.9%	
PTX w/ Intervention		0.7%	0.4%	3.2%	
Hemorrhage	0.2%			2.3%	
Respiratory Failure	0.2%			0.6%	
		¹ Ost, et al. Ar ² Wang ³ Si	n J Respir Crit Ca 3 Memoli, et al. 4 teinfort, et al. Eu foardhar et al. 5	re Med 2016;193(1):6 Chest 2012;142(2):385 r Respir J 2011;37:902 MAC Pulm Med 2017:1	58-77 5-393 2-910



Complications of TBBx with Antiplatelet Agents



Clopidogrel is a contraindication to TBBx

- Bleed risk higher in clopidogrel vs. control group: 89% vs. 3.4%
 Higher bleed risk for all categories of bleeding
 - Mild: 27% vs. 1.5%
 - Moderate: 34% vs. 1.5%
 - Severe: 27% vs. 0.3%
- Aspirin alone does not significantly increase bleed risk
 Bleed risk similar on ASA (1.8%) vs. control group (2.9%)

Ernst, et al. Chest 2006;129(3):734-737 Herth, et al. Chest 2002;122(4):1461-1464

Thoracentesis – Hemorrhage



- Risk of hemorrhage with thoracentesis is < 0.4%
- No difference in hemorrhage based on INR or platelets

 1076 U/S-guided thoracentesis
 No difference for INR ≥ 2.0, 2.5, or 3.0 (No hemorrhage)
 - No difference for platelets ≤ 100K, 50K, or 25K
- No difference in hemorrhage with transfusion of FFP or platelets
 1009 U/S-guided thoracentesis with INR 2 1.6 and/or platelets s 50K
 No difference for transfusion-corrected versus uncorrected patients (0.4%)
- Small case series with low hemorrhage risk
 - ClopidogrelUremia

Patel and Joshi. AJR Am J Roentgenol 2011;197:W164-8 Hibbert, et al. Chest 2013;144:456-63



space (n=248)	grading system for the pos	sterior intercostal ar	tery within the intercos
Tortuosity	Description	Grade	Intercostal space n (%)
	Linear	0	32 (7.4)
	Slight curve	1	152 (35.5)
\sim	Wavy	2	169 (39.4)
$\land \land \land$	Sinusoidal	3	75 (17.5)





- Up to 80% of intercostal arteries may have a collateral branch
- Collateral branches tend to increase in size anteriorly







Thoracentesis is performed without complication. Pleural fluid analysis is consistent with an exudate, but cytology does not demonstrate malignant cells. What do you recommend next?

- A. Repeat thoracentesis
- B. Closed needle pleural biopsy
- C. CT guided FNA of the pleura
- D. Thoracoscopic pleural biopsy
- E. Navigation bronchoscopy and linear EBUS TBNA



Pleural Fluid for Diagnosis of MPE

- Pleural fluid sensitivity: 62-90%
- Utility of repeat thoracentesis decreases diminishing returns • 1st sample: 65%
 - 2nd sample: 27%
 3rd sample: 5%
- At least 50-60 mL of fluid increases diagnostic yield, but ideal minimum volume for diagnosis is unclear

 - Swiderek: 60 mL and 150 mL outperformed 10 mL
 Abouzgheib: 50 mL similar to "large volume" (mean 890 ± 375 mL)

Antony, et al. *Eur Resp J* 2001;18:402-419 Garcia, et al. *Mod Pathol* 1994;7:665-668 Swiderek, et al. *Chest* 2010;137(1):68-73 Abouzgheib, et al. *Chest* 2009;135(4):999-1001







Thoracoscopes

Rigid

- Richard Wolf, Karl Storz7 mm diameter trocar sleeve
- 3 mm biopsy forceps
- Increased optical resolution
- Larger biopsies

Flex-Rigid

- Olympus
- 5 mm diameter trocar sleeve
- 2 mm biopsy forceps
- Increased maneuverability
- Smaller chest wall incisionGrip same as bronchoscope









Pleural Biop	osy > Pleural	Fluid				
 Pleural Biopsy Closed Need Transthoraci Medical Tho VATS 	Options le Biopsy c Needle Aspiration racoscopy					
Disease	Pleural Fluid	Closed Needle Biopsy	Thoracoscopy			
Lung Cancer	55-60%	60% (个 w/ U/S)	90-95%			
Mesothelioma	30-70%	40%	> 90%			
Tuberculosis	< 50%	80%	100%			
		Koegelenberg and Diacon. <i>Respirology</i> 2011;16:738-746 Miyoshi, et al. <i>PLoS One</i> 2016;11(11):e0167186 McLaughlin, et al. <i>Lung Cancer</i> 2009;65(3):388-399				



Repeat thoracentesis reveals malignant cells. IHC staining is TTF (-), napsin A (-), P63 (+), and P40 (+), suggestive of squamous cell carcinoma.

Which of the following would you request?

- A. Limited panel of molecular markers: EGFR, ALK, KRAS
- Broad molecular marker profiling with NGS В.
- PD-L1 C.
- D. Biomarkers have little utility in this setting
- E. Refer to Oncology

	TTF-11	Napsin A	CK7	СК20	P63	СК5/6, СК903	NE Markers ²	Other
lon-mucinous denoCA	85% +	+	+	-	-/+	-/+	-	
Aucinous denoCA ³	-/+ 10-20% +	-/+	+/-	+/-	-/+	-/+	•	May have focal CDX2
quamous cell	-		-/+	-	+	+	•	
mall cell	90% +4	-	-/+	•	-	-	+	Punctate Cam5.2 and AE1/3 Diffuse CK903 or CK5/6 exclude SCL
arcinoid	50% +4			-	-	-	+	Ki-67 labeling index
Aesothelioma	-		+			+		WT1 Calretinin HMBE-1 D2-40





Molecular NCCN National Comprehensive And Cancer Network® No	Testing for CN Guidelines n-Small Cell Lu	NSCLC Version 8.2017 Ing Cancer	NCCN Gudernes Index Table of Contents Discussion
LUNICAL PRESENTATION Establish histologic subtyper with us for molecular testing (canaider rebiops?) Stease Heiselate Canaider aparoplate() Canaider aparoplate() Canaider aparoplate() Patientity aparoplate() Patientity Cana) Patientity Cana)	HistoLooic SuBTYPE - Adencercloome - kryp Call - HSCLC not - stierrise stierrise stierrise stierrise carcinoma	TESTING" Maincular testing + EGF musicon testing (chergory 1) + ROS testing BRAF testing BRAF testing BRAF testing + ROS testing + R	TESTING RESULTS" Sensiticing EGFP ALK positive ALK positi

Adequacy for Molecular Marker Testing

- Testing for molecular markers has a high success rate in lung parenchyma, lymph nodes, and pleural biopsies
- Some sources are less successful
 BAL, pleural fluid: fewer malignant cells

Bone: secondary to the acidification required in specimen processing							
	Mutation	Lung	Lymph Node	<u>Pleura</u>	Bone		
	EGFR	94%	97%	96%	83%		
	ALK	91%	94%	97%	78%		
	KRAS	92%	93%	92%	86%		

VanderLaan, et al. Lung Cancer. 2014;84(1):39-44. Albanna, et al. J Thorac Oncol. 2014;1120-1125.

• NGS (a	Generation Sequencing	can simultaneously	detect
practic	e guidelines. (recommended by N	ICCN)	
• Ex	48 BAL and pleural fluid samples from EC	FR+ patients confirmed	by resection
		Sanger Sequencing	NGS
	36 cases with 0.3% to 9% neoplastic cells	16%	81%
	12 cases without evidence of tumor	0%	42%



IHC testing confirms lung primary adenocarcinoma, EGFR(+) with exon 19 mutation. The patient has ECOG 2 performance status. There is initial reduction in tumor size with erlotinib therapy. The patient remains symptomatic from the pleural effusion. What palliative intervention would you recommend?

- A. Repeat thoracentesis
- B. Tunneled indwelling pleural catheter
- C. Chest tube with talc pleurodesis
- D. Thoracoscopy with talc
- pleurodesis E. Refer to Palliative Care for hospice





Natural History of MPE

- Asymptomatic effusions often stay small
 - No progression in small, asymptomatic effusions (n=14)
 Median radiographic follow-up: 98 days
 - Median radiographic follow Median survival: 128 days
 - ····,·
- Symptomatic effusions usually rapidly recur
 - 94 patients with symptomatic MPE treated with thoracentesis drainage
 90 (95.7%) had a recurrence < 30 days
 - 90 (95.7%) had a recurrence < 30 days
 Mean time to symptomatic recurrence: 4.2 days

Tremblay, et al. J Bronchol 2007;14:98-100 Anderson, et al. Cancer 1974;33:916-922

Predicting Prognosis for Patients with MPE

- TIME3: intrapleural urokinase prior to pleurodesis for septated MPE Prospective, double-blind, 1:1 RCT
 71 subjects: 36 urokinase, 35 placebo
- Exclusion: expected survival < 4 weeks
- No difference in co-primary outcomes:
 - Dyspnea Time to pleurodesis failure













	Variable	Score
L-E-N-T Prediction Score	LDH level in pleural fluid (IU/L <1500 >1500 ECOG PS 0	0
Factors independently associated with	1 2 3-4	23
survival:	NLR <9 >9	0
 L DH (pleural fluid) E COG performance status 	Tumour type Lowest risk tumour types Mesothelioma	0
 N eutrophil : Lymphocyte (serum) T umor cell type 	 Haematological malignancy Moderate risk tumour types Breast cancer 	1
 Effusion size Serum NT-proBNP	Gynaecological cancer Renal cell carcinoma Highest risk tumour types Lung cancer Other tumour types	2
	Risk categories	Total score
	Low risk Moderate risk	0-1 2-4











Management of a malignant pleural effusion: British Thoracic Society pleural disease guideline 2010

Mark E Roberts,¹ Edmund Neville,² Richard G Berrisford,³ George Antunes,⁴ Nabeel J Ali¹, on behalf of the BTS Pleural Disease Guideline Group

Recurrent Thoracentesis

BTS guidelin

Pleural effusions treated by aspiration alone are associated with a high rate of recurrence of effusion at 1 month so aspiration is not recommended if life expectancy is > 1 month

Definitive Palliative Therapies

- Other than in patients with a very short life expectancy, small-bore chest tubes followed by pleurodesis are preferable to recurrent aspiration.
- In patients with good performance status, thoracoscopy is recommended for diagnosis of suspected malignant pleural effusion and for drainage and pleurodesis of a known malignant pleural effusion.
- Ambulatory indwelling pleural catheters are effective in controlling recurrent and symptomatic malignant effusions in selected patients.

Roberts, et al. Thorax 2010;65(Suppl 2):ii32-ii40

Case Presentation #6

A TPC is placed without difficulty. While draining fluid after TPC insertion, the patient complains of chest pain. Post procedure CXR is performed.

What would you recommend?

- A. Additional TPC drainage now
- B. Attach TPC to suction via pleural drainage system
- C. D/C patient and continue symptomatic drainage PRN











TIME2: Definitive Palliation Options

	Talc Pleurodesis	Tunneled Pleural Catheter		
Hospitalization	2-6 days	None		
Procedure Success	70%	100%		
Dyspnea Improvement				
Quality of Life	No Difference			
Cost Benefit	No Difference (TPC Favo	red if Survival < 14 weeks)		
Complications	Fever / SIRS	Cellulitis (<10%)		
	Hypoxemia / ARDS	Pleural Infection (5%)		
Other Considerations	TPC for Procedure Failures	Requires Self-Care of TPC		
	N/A for Trapped Lung	Spontaneous Pleurodesis		
, Martine ,	Davies, et al. JAMA 2012;307(22):2383-2389			
States and a state of the state	Penz, et al. Chest 2014;146(4):991-1000			
	rysn, et al. Chest 2013;144(5):1597-1602			



The patient has evidence of trapped lung but otherwise has relief of dyspnea with TPC drainage. How often do you recommend drainage?

- A. Scheduled daily
- B. Scheduled but not daily (e.g. q2 or q3 days)
- C. PRN symptoms
- D. Adjusted based on drainage volume









Epidemiology		
 126,825 admissions for MPI Heathcare Cost and Untilizati Mean age = 68.0 years (IQR 55.8% female 	E in the Unition Project-Nat 58.4-77.2)	ted States in 2012 tionwide Inpatient Sample (HCUP-NIS)
Primary Malignancy Lung Breast Gl Gynecologic	<u>Total</u> 37.8% 15.2% 11.0% 9.0%	 Median LOS: 5.5 days 2.7-10.1 days (IQR) Inpatient Mortality: 11.6%
Hematologic Other Unknown	11.2% 22.2% 11.2%	 Hospital Charges: \$42,376 \$21,618 - \$84,679 (IQR) Taghizadeh, et al. Chest 2017;151(4):845-854









AMPLE: Hospitalization for TPC vs. Pleurodesis

- Multi-center, international trial of TPC vs. Pleurodesis
 - 74 TPC72 Pleurodesis (talc slurry)
 - Exclusion: expected life expectancy < 3 months
- Primary outcome: total hospitalization days until death or 1 year

Thomas, et al. JAMA 2017;318(19):1903-1912

















Readmissions for MPE						
	Index Hospitalizations	7-Day Readmissions	14-Day Readmissions	30-Day Readmissions	60-Day Readmissions	90-Day Readmissions
Index Hospitalizations	NA	5,957	5,957	5,957	5,526	4,982
Readmissions	NA	961	1,545	2,279	2,899	3,180
Readmission rate (%)	NA	16.1%	25.9%	38.3%	52.5%	63.8%
Length of stay (Days)						
Mean (SD)	6.4 (5.8)	6.8 (6.9)	6.8 (6.8)	6.8 (6.5)	6.8 (6.4)	6.7 (6.2)
Median (IQR)	5 (3 - 8)	5 (3 - 9)	5 (3 - 9)	5 (3 - 9)	5 (3 - 9)	5 (3 - 9)
Hospital Mortality (%)	NA	0.30	0.20	0.10	0.10	< 0.01
Costs per Hospitalization (US \$)						
Mean (SD)	19,378 (18,951)	19,774 (19,033)	20,289 (21,668)	20,189 (20,666)	20,278 (20,088)	20,113 (19,649)
Median (IQR)	14,317 (7,645 - 23,469)	13,088 (7,677 - 23,436)	13,721 (7,689 - 23,511)	13,798 (7,769 - 23,818)	14,116 (7,881 - 23,867)	14,031 (8,091 - 23,940)
	Yang, et al. Submitted for publication.					r publication.



Factors Associated v	vith Readmissions			
Patient Factors	Hospital Factors			
• Age	 Hospital Size 			
Gender	Teaching HospitalProportion of Minorities			
• Race				
Charlson Comorbidity Index	Hospital Type			
Cancer Type				
Median Income	Factor	OR (95% CI)		
Urbanicity	1000	<u>on (35% ci</u>)		
 Payer Category 	Female Gender	0.78 (0.63-0.95)		
DNR Status	Charlson Comorbidity Index	1.51 (1.15-1.99)		
Disposition	DNR Status	1.37 (1.03-1.84)		
 Length of Stay 				
	Yang, et a	 Submitted for publicat 		







