

GS 1 “Catch Me If You Can”

Frank Abagnale, *Renowned cybersecurity and fraud prevention expert, bestselling author and subject of “Catch Me If You Can”*

Frank will provide an entertaining insight into his life as the notorious imposter of the 1960s; a thrilling story told in the award-winning movie and Broadway musical, *Catch Me If You Can*. By age 21, Frank was known as America's most gifted con man, successfully impersonating an airline pilot, pediatrician, stockbroker, college professor, and assistant attorney general, all while cashing \$2.5 million in forged checks. Audiences will experience an emotional roller coaster ride as motivational speaker Frank Abagnale describes his escapades and reveals how he learned to live on the right side of the law.

CS 1-1 “How New COSO Guidance Impacts Internal Auditors in a Transformational World”

Paul Sobel, *Chairman, Committee of Sponsoring Organizations*

The Committee of Sponsoring Organizations of the Treadway Commission (COSO) is well known for its Internal Control and ERM frameworks. COSO also provides guidance and thought leadership on risk management, internal control and fraud deterrence. COSO recently updated its previous guidance and has issued new guidance. The Chairman will discuss the new guidance, which focuses on managing cyber, blockchain, compliance risks and controls, and other risk topics. In this session, participants will:

- Understand how to apply risk management principles to manage cyber risks
- Evaluate the types of controls necessary for blockchain and distributed ledgers
- Develop a practical approach to establishing risk appetite
- Understand how to leverage risk management to create and protect value
- Apply risk management techniques to manage compliance with laws and regulations

CS 1-2 “Deep Fried Deception: A Case Study of Fraud and Embezzlement”

Laura Soileau, *Director, Postlethwaite and Netterville*

This session will examine the techniques and approaches employed in the fraud case in which a former CFO, responsible for the operations of multiple fried chicken restaurants, pled guilty to wire fraud and embezzlement from a bankruptcy estate. We will also highlight detailed analysis and aspects of the investigation. In addition, this session will discuss how organizations can develop a layered anti-fraud approach to combat fraud. Learning objectives include:

- Understand occupational fraud
- Discuss anti-fraud approach including fraud detection, prevention, and response
- Understand available data analysis techniques
- Discuss case study from investigation to indictment

CS 1-3 “What You and Your Board Should Know about ESG Risk”

Bruce Zaret, *Partner, Weaver*

As Environmental, Social and Governance (ESG) risks trend higher with front-page headlines, internal auditors are being called upon to provide assurance to boards that key strategies are in place and controls are operating effectively. This session will discuss what internal auditors and their board's need to know about ESG and how auditors can help in the ESG risk management process. Learning objectives include:

- Key elements in performing a corporate governance audit
- ESG and Sustainability and why internal auditors should care
- What boards and audit committees should know about ESG and Sustainability
- ESG risks and how can internal audit help

CS 1-4 “Evangelizing AuBot”

Carla Hodge, *Internal Audit Director*

Vivek Sinha, *CEO & Founder, Janus Advisory and Consulting Services*

Internal Audit is the cornerstone stone of an organization’s corporate governance, uniquely positioned to provide global assurance to the audit committee and senior management regarding the effectiveness of internal controls and risk processes. For the Internal Audit function to accomplish its objectives with limited resources, it must be innovative. In this age of automation, auditors should be looking for opportunities to integrate technology into the internal audit function. Analytics, robotics process automation and artificial intelligence should be on the forefront of every internal auditor’s mind. This session will discuss how an Aubot (an audit robot) can revolutionize the way internal audit and other compliance functions execute audits, test controls or oversee compliance.

CS 1-5 “Using the Power of Process Mining to Deliver Next-Generation Internal Audit”

Cassie Putnam, *Managing Director, Protiviti*

Data and advanced technologies can be key enablers for internal audit functions seeking to transform, while delivering increased assurance and risk focus, greater efficiency, and deeper and more valuable insights. Process mining technology, combined with re-imagined audit processes, allows internal audit functions to accomplish these goals. The presenter will discuss and demonstrate the game-changing opportunities process mining creates, including:

- Introduce process mining concepts along with key features and benefits of advanced analytics platforms.
- The importance of data and technology in advancing next-generation internal audit concepts
- How process mining tools enable greater efficiency, effectiveness, and insight while delivering fundamental changes in performing internal audit activities

CS 2-1 “Blurring the Lines: The Impact of Social Media on an Organization’s Risk”

Janet Smith, *Managing Director, BDO*

Social media is no longer just a teenage thing, it has become a powerful tool for businesses to connect with customers, employees and the general public. Fishbowl, Twitter, Yelp, TripAdvisor, Facebook, Instagram, Pinterest, Snapchat, LinkedIn, etc. - all of these can create great opportunities, but also new risks, to an organization. From this session, participants will be able to:

- Identify and understand common social media platforms and uses
- Recognize the common business and IT risks associated with the rising use of corporate social media
- Recognize the risks associated with employee personal use of social media
- Develop an internal audit plan to address emerging social media risks

CS 2-2 “The ACFE’s 2020 Report to the Nations: Examining the Cost and Impact of Occupational Fraud”

John Warren, *Vice President and General Counsel, Association of Certified Fraud Examiners*

The ACFE’s Report to the Nations is the most widely quoted source of occupational fraud data in the world. This will be a first look at the ACFE’s recent study, which is scheduled for release in early April. The Report, based on actual reported fraud cases from around the world, focuses on six key issues: 1) the cost of fraud; 2) the methods used to commit these crimes; 3) the ways in which fraud schemes are detected; 4) the characteristics of the victims; 5) the characteristics of the perpetrators; and 6) the results of cases after fraud has been identified. This session will highlight key study findings and interesting facts and trends.

CS 2-3 “Trust by Design: Embracing Disruption in a Changing Risk Landscape”

Mark Envani, *Partner, EY*

Disruption is a strategic imperative for every organization in the Transformative Age. The rapid pace of disruption brings new risks and demands a different way of thinking. Risk management of tomorrow needs to enable confident decision-making to maximize growth, increase business value and maintain trust. Trust by Design helps organizations embrace this disruption with confidence while maintaining trust in a rapidly changing risk landscape.

CS 2-4 “Strengthening the First Line of Defense with Continuous Controls Monitoring”

Brian Yarbrough, *Global Head of IT Audit, HP Inc*

Strategies, based on compelling business benefits, can be used by internal auditors to engage front-line management to implement Continuous Controls Monitoring (CCM). From this session, attendees will learn:

- How CCM decreases the cost of controls management
- Why business management will appreciate timely insights into controls performance from CCM
- How CCM helps management avoid compliance surprises from internal/external audits
- Why monitoring 100% of a transaction population mitigates risks
- How to overcome challenges in partnering with business management to implement CCM
- Why CCM by first line of defense frees up internal audit to do more analysis and risk management

CS 2-5 “Agile Internal Auditing: A Journey to Customer-Centered, Value-Driven and Responsive Auditing”

Anand Bhakta, *Director of Solutions Advisory Services, AuditBoard*

The internal audit profession is recognizing the need to move faster in working with its customers and in performing its services. Teams are achieving success by adopting an iterative and flexible approach to prioritize work and keep pace with continual changes. This session will discuss the benefits of working agile, showcase common challenges to success, and provide practical implementation guidance. Attendees will:

- Learn how to audit with agility
- Discover why agile auditing is critical to a business
- Explore best practices and keys to success

GS 2 “Audit in Tune”

Mike Joyce, *2019-2020 Chairman of The Institute of Internal Auditors Global Board of Directors and Vice President, Chief Auditor & Compliance Officer for Blue Cross Blue Shield Association*

Like beautiful music, where all the instruments are in tune and the parts flow harmoniously, internal audit's success is often measured by how in tune its approach and philosophy are with stakeholder expectations. Built on his more than 36 years of professional experience, including 31 as a CIA, Mike will take us on a musical journey exploring what it means to be an accomplished member of the band of professional internal auditors. “Most songs have three primary elements: rhythm, harmony and melody. If any one of those elements is out of sync, it will be obvious to even non-musicians. I think that we can apply these same concepts to the way we perform our roles and responsibilities as internal auditors,” explains Mike, who is a musician and longtime amateur band member. Emphasizing internal audit fundamentals, business and operational acumen, the importance of certification and professionalism, Mike’s “Audit in Tune” theme will strike a chord with beginning and seasoned internal auditors around the globe. “Audit in Tune” will provide a soundtrack that encourages internal auditors to make their organizations just a little better.

CS 3-1 “A Next Enron is Coming: Cybersecurity Poses a Major Risk to ERP Systems”

Sergio Abraham, *Cybersecurity Researcher, Onapsis*
Larry Harrington, *Retired Chief Audit Executive, Raytheon*

A recent survey shows 65% of organizations acknowledge a breach within their ERP systems demonstrating IT General Controls are no longer adequate by themselves. This session will demonstrate how the “bad guys” breach ERP systems; the potential impact on SOX compliance; how auditors can adapt audit testing to provide proper assurance to management and the board; and how auditors can continually adapt to the changes in cyber risks. From this session, participants will:

- Understand the impact of cybersecurity on SOX compliance
- Learn how auditors can adapt audit testing for these emerging cyber risks
- Obtain ideas how to continuously adapt to cybersecurity changes

CS 3-2 “Anti-Corruption: Critical Questions Surrounding Risk Created by Unlawful Acts”

Jeffrey Harfenist, *Partner of Forensics Investigations and Disputes, RSM US LLP*

Using various case studies, we will explore real-world instances of fraudulent behaviors and will discuss forensic protocols and tools used to investigate and provide answers to critical questions surrounding risk created by unlawful acts. This session will also delve into the Evaluation of Corporate Compliance Programs guidance released by the Department of Justice in April 2019, with a focus from the perspective of forensic professionals and the implementation of monitoring applications.

CS 3-3 “Winning Through Influence and Connectivity”

Ed Robinson, *President, Robinson Performance Group*

Whether you are a CAE, CFO, CIO, Director, Controller, business advisor or are a developing audit or IT professional, it is imperative that you master the art of financial influence and build the skills of a relational manager. This powerful, interactive session will provide participants with the skills to design a structured process for developing long-term, mutually beneficial internal and external client relationships along with strategies for becoming true leaders within your organizations.

Ed combines dynamite influencing strategies with a marketing model that creates a machine for effective and proactive influence, regardless of economic conditions. You will walk away with over a dozen skills, processes, and tools to grow your organization and impact your career.

CS 3-4 “Technology Transformation”

Nicholas Wozny, *Managing Director, KPMG LLP*

Many companies pursue new technological advancements without sufficiently assessing whether they are right for their business model (now and tomorrow). Technology disruption has a huge impact on companies, especially with a drive towards agile, the rapid move to the cloud, and development and adoption of digital solutions – plus upcoming major ERP upgrades across sectors. An organization’s technology strategy should be flexible and support the broad business strategy; however, technology should not drive that strategy. We will discuss how internal audit can help their company get this right by:

- Assessing how existing and planned technology initiatives align with the overall company strategy
- Reviewing system implementations to assess strategy alignment, governance, delivery, status reporting, business readiness, and benefits estimating
- Performing real-time project assessments and the control environment in high-risk areas, such as project authorization, scope management, contracting and vendor management, operational controls integration, and benefit achievement

CS 3-5 “SOX Modernization”

Patty Salkin, *Managing Director, Deloitte*

Modernization of internal controls continues to be a focus of registrants with the objective to identify efficiencies while maintaining quality with lower the cost of compliance. This session will explore leading practices within internal control programs, barriers preventing issuers from getting ahead, how to break the cycle, and incorporating technology as part of a strategic control redesign approach that drives meaningful business outcomes. Discussion topics will include:

- Overview of material weakness data by SEC registrants
- Advantages to the three pillars to SOX modernization
- Case study of aligning risk assessment to what matters most
- Automation technology that can be integrated into your SOX compliance program

GS 3 “Hacking the ROCKSTAR ATTITUDE”

Mark Schulman, *Celebrity Drummer*

Mark’s high-energy, audience-interactive keynote, “Hacking the Rockstar Attitude”, can best be described as a Rock show disguised as a keynote. Mark uses three core principles during this kinetic and sensory-driven program – ABC: Attitude * Behavior * Consequences. You can’t always control what happens to you, but you can control your attitude which drives your behavior, and your behavior drives your consequences. You will walk away with a blueprint for how to incorporate Rock & Roll philosophy, techniques and swagger to drive performance and energize your work environment.

Are you ready to rock? This dynamic closing session will show you how to:

- Increase Individual and Team Performance - Through an invigorating, entertaining and emotional experience, participants gain an understanding of how to immediately employ fundamental and powerful concepts that will elevate their professional and personal performance beyond what they thought possible.
- Break Through Barriers and Trepidation - Based on his interviews with world-class performers in all industries, Mark has synthesized the secrets to busting through fear, trepidation, and anxiety associated with any type of performance, presentation, pitch, or communication.
- Engage in Interactive Performances - Entertaining live drumming with multi-media and interactive processes give individuals and teams a cohesive sense of rhythm; organizations of all types will increase their internal energy and unify teams into one inspired, vision-focused performance.