

4th WCO Global AEO Conference

14 – 16 March 2018, Kampala, Uganda



WCO AEO Validator Guide & Training Module

Purpose of the AEO Validator Guide

- WCO practical guidance Tool
- Standardization of validation procedures/processes
- Promote a common approach, transparency & predictability to trade community and Customs administrations
- Role of the Validator
- Set out essential elements needed to carry out an AEO validation
- Competence of AEO Validators

Validator's Profile



General Competencies

Knowledge Requirements

- knowledge of national AEO programme,
- knowledge of safety and security programmes from other government or inter-governmental agencies,
- knowledge of Industry's best practices.

Skills Requirements

- ability to gather and evaluate evidence,
- ability to identify and solve problems,
- ability to undertake appropriate technical research.

Professional values, ethics and attitudes

- Integrity,
- Professional behavior,
- Confidentiality.

Validation Competencies

Customs administrations should put in place a structured training programme in order to achieve the necessary competencies of AEO validators .

- Understanding internal control measures
- Accounting and internal control
- Assessing the operator's risks related to the AEO criteria
- Develop a validation plan/control plan
- Perform controls, and
- Complete the validation, draw conclusions and issue a validation report.

Role

- Prepare for validation
- Coordinate meeting agenda
- Understand the business process
- Carry out site visits
- Establishing, recording, observing, evaluating and testing the applicant's procedures;
- Completing a report
- Recommending (approve / disapprove)
- Being available to advise the company throughout the process

Validation Procedure

- Economic operators are in the best position to prepare their own application for AEO status (some AEO programs may provide assistance during this phase)
- Upon receipt of the application form, the Customs administration will examine it and decide upon its acceptance or non-acceptance,
- Self-Assessment Questionnaires may be sent at this point.
- Receiving the necessary information from the applicant will make the overall process more efficient afterwards for both Customs and the applicant.

Validation Procedure

- In the event that additional information is required, the Customs administration must request it from the applicant as soon as possible, but (if applicable) within the deadline provided for in the legislation or national provisions.
- The Customs administration should inform the applicant about the acceptance of the application and the date of acceptance; it should also inform the economic operator in the event of non-acceptance and the reasons.

WCO Tools for Customs Administrations

- **AEO Implementation Guidance**
- **AEO Compendium**
- **Model AEO Appeal Procedure**
- **AEO Benefits**
- **The AEO and SMEs**
- **MRA Guidelines**
- **AEO Template**
- **AEO Validator Guide**

... AEO Training Module

For more information:

<http://www.wcoomd.org>