

Frailty and its relevance in caring for acutely ill older adults

Kenneth Rockwood MD, FRCPC, FRCP
Professor of Geriatric Medicine
Dalhousie University
Halifax, Nova Scotia

3rd Annual TVN Conference, Toronto September 2015

Disclosures

Through the Dalhousie Industry Liaison and Innovation Office, I have asserted copyright of the *Clinical Frailty Scale* & a CGA form. These are free for research, education and not-for-profit healthcare. We ask people not to change or commercialize it.

All the supporting frailty index material is freely available, including as open access.

Outline (1): What is frailty?

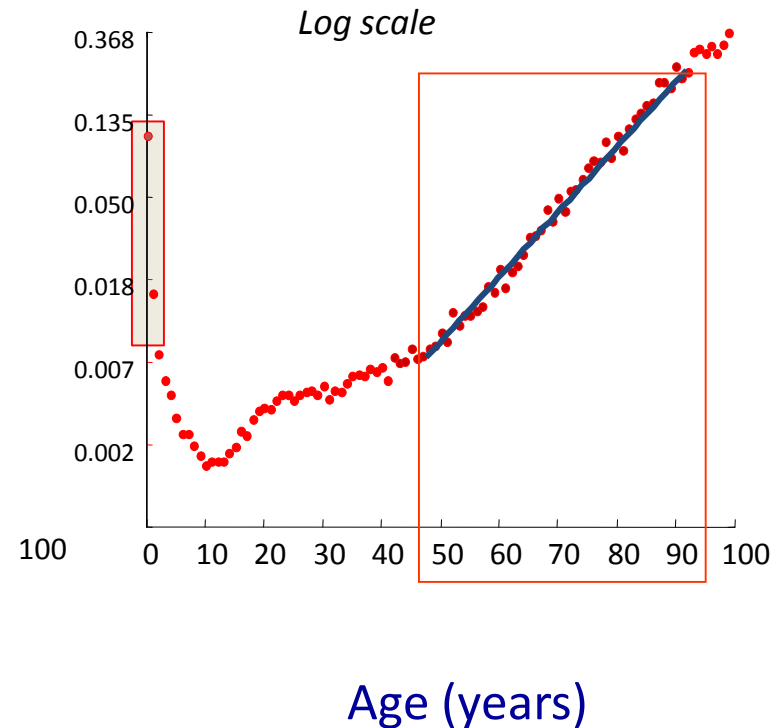
- Frailty reflects multiply determined risk, *greater than for others of the same age.*
- It can be viewed as a *state* or as a *syndrome*.
- In population, clinical and basic science studies all instruments measure frailty by the number of *health deficits*.

Outline (2): Why does frailty matter?

- *Health deficits* arise across the life course, as cellular/molecular damage goes unremoved or unrepaired. (This is also the basis of ageing.)
- Frail older adults challenge health care in their complexity, which we must embrace.
- Much of what we must learn, and of what we must do, can sound, to our peril, to be simple.

The older people get the more likely they are to die (on average)

$$\mu = R \cdot \exp(\alpha \cdot t)$$



The rate of mortality as a function of chronological age (Canadian data, cohort 1900-1901).

People age at different rates.

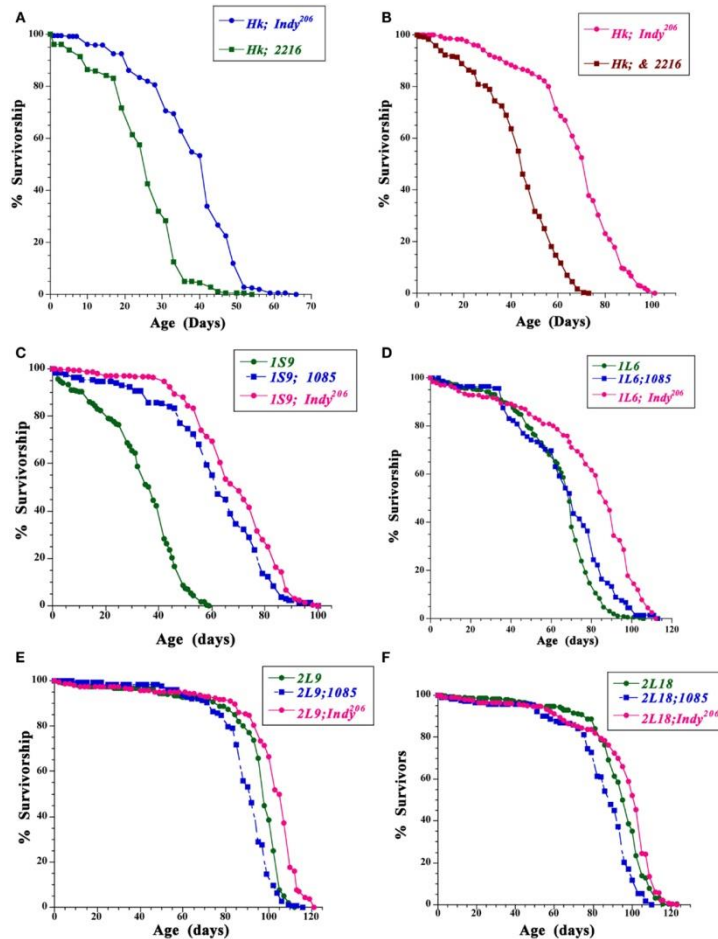
visualphotos.com



42-19549582 [RF] © www.visualphotos.com

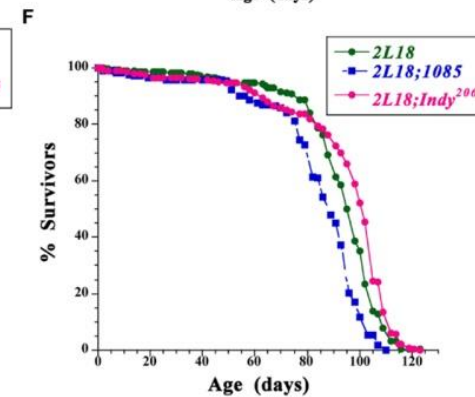
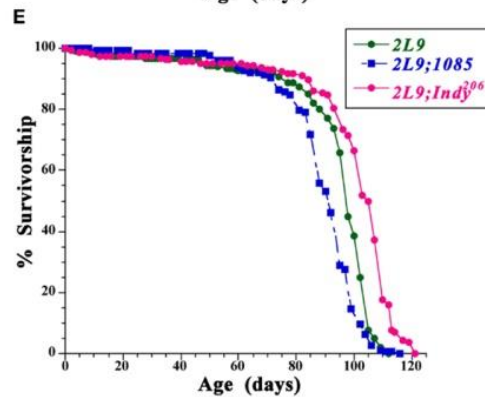
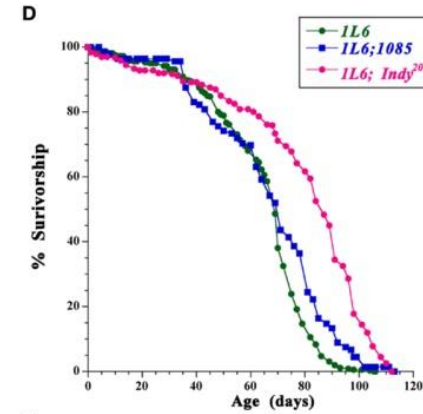
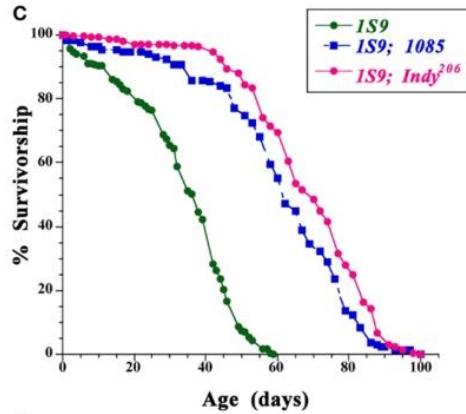
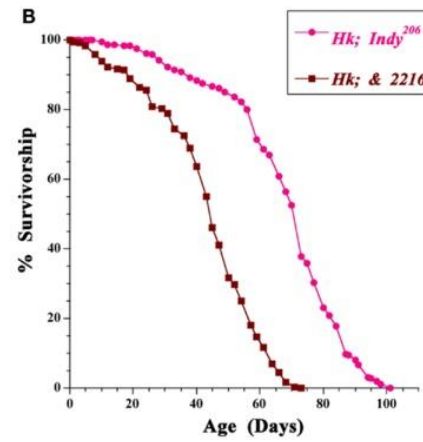
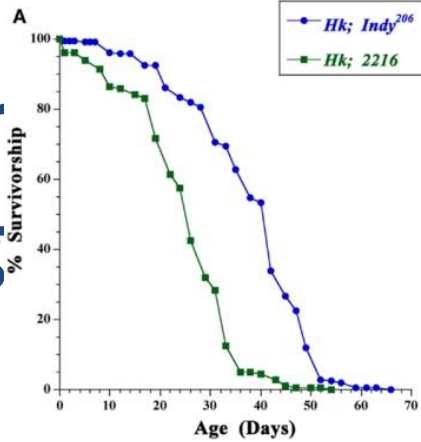


Organisms die at varying rates: survival of longevity mutations in *drosophila*



[Rogina B, Helfand SL.
Front Genet 2013;
http://dx.doi.org/
10.3389/fgene.2013.00047](http://dx.doi.org/10.3389/fgene.2013.00047)

Organism long



survival of ophila

Rogina B, Helfand SL.
Front Genet 2013;
<http://dx.doi.org/10.3389/fgene.2013.00047>

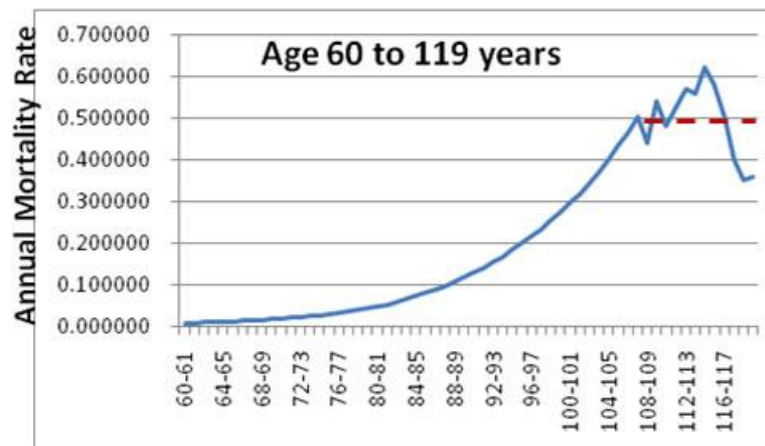
Bansal A, Zhu LJ, Yen K, et al., **Uncoupling lifespan and healthspan in *C. elegans* longevity mutants.** *Proc Natl Acad Sci USA.* 2015;112(3):E277-86

“Statistical frailty”

Age vs. ageing

Vaupel J, Manton K,
Stollard E. The impact
of heterogeneity in
individual frailty on the
dynamics of mortality.
Demography 1979;
16:439-54

Missoy & Vaupel. *Society for
Industrial & Applied Mathematics
Review* 2015;57:61-70.



Operationalizing frailty

Variables are *highly specified*:
prototype is the frailty
phenotype

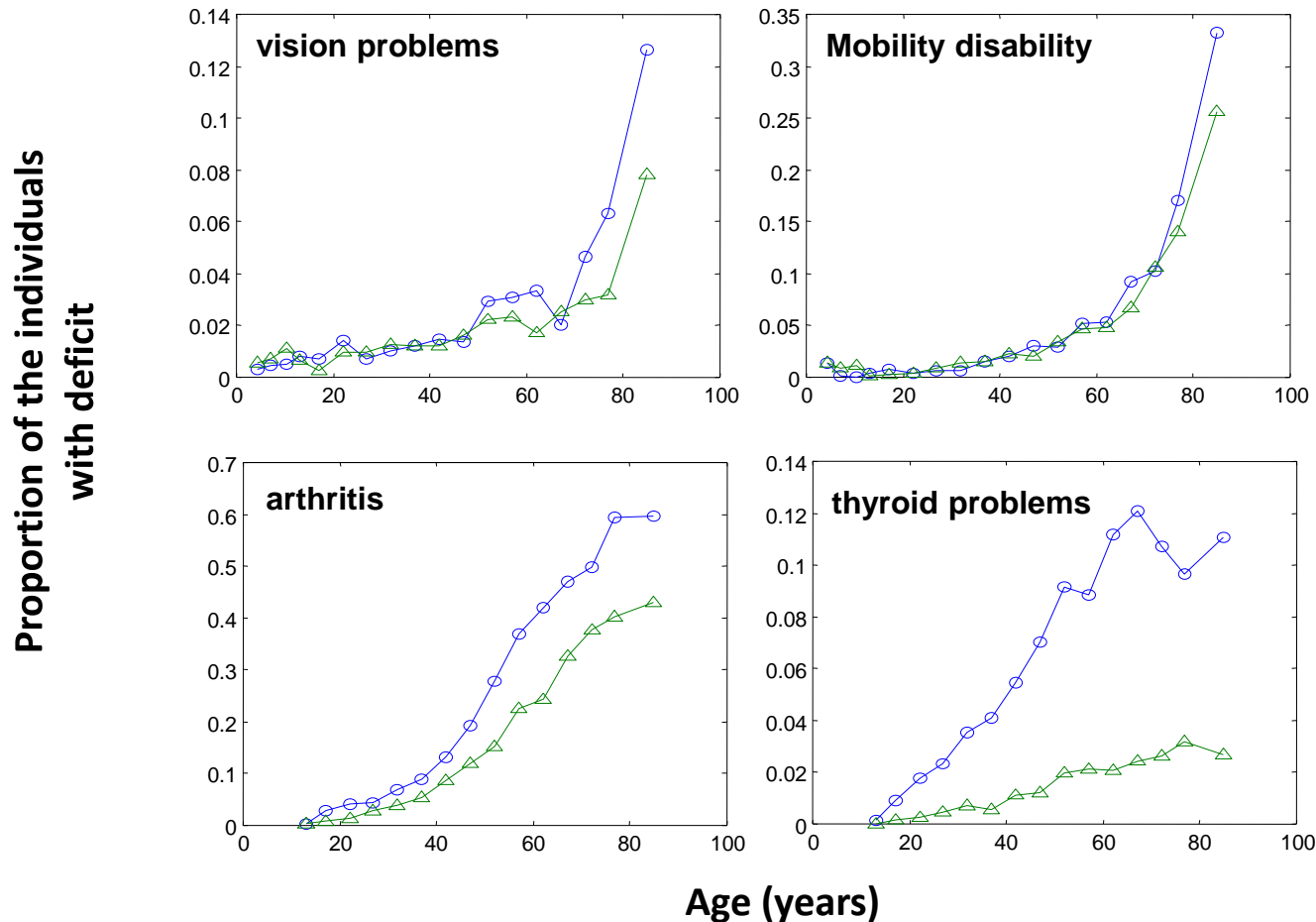
- Slow mobility
- Weakness
- Weight loss
- Decreased activities
- Exhaustion
 - Fried et al., 2001;56 *J Gerontol A Biol Sci Med Sci* (3):M146-56.

Variables are *hardly specified*:
prototype is the Frailty Index

- Count health deficits (30-100)
 - age associated but does not saturate;
 - associated with adverse outcome
 - <5% missing data
- Divide by the number of deficits considered.
 - Mitnitski et al., *ScientificWorldJ* 2001;1:323-326.
 - Searle et al., *BMC Geriatr* 2008;8:24.

The older people get, the more likely they are to accumulate health deficits

(Canadian National Population Health Survey, n= 66,580)

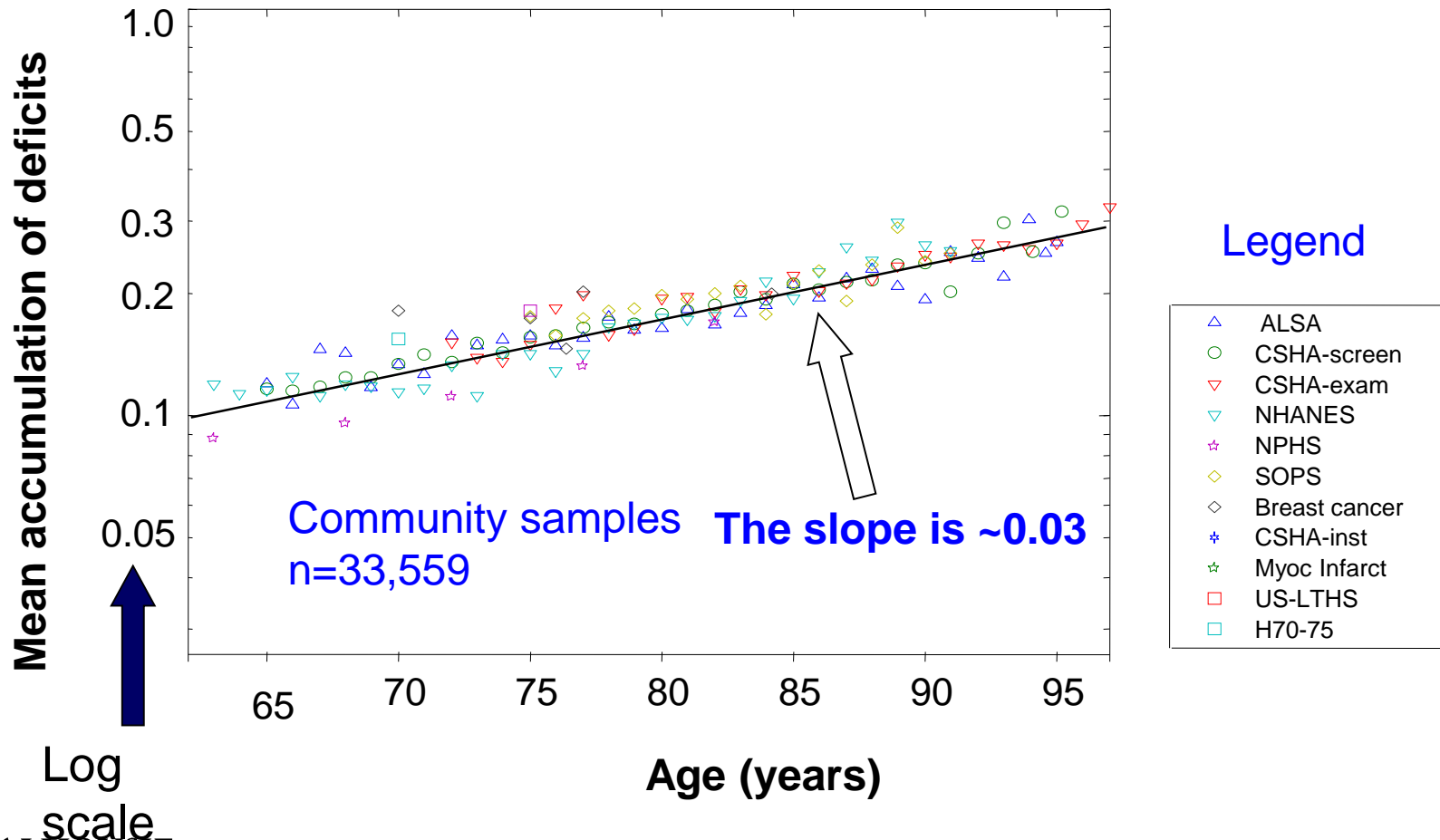


Deficit accumulation can be estimated with the frailty index

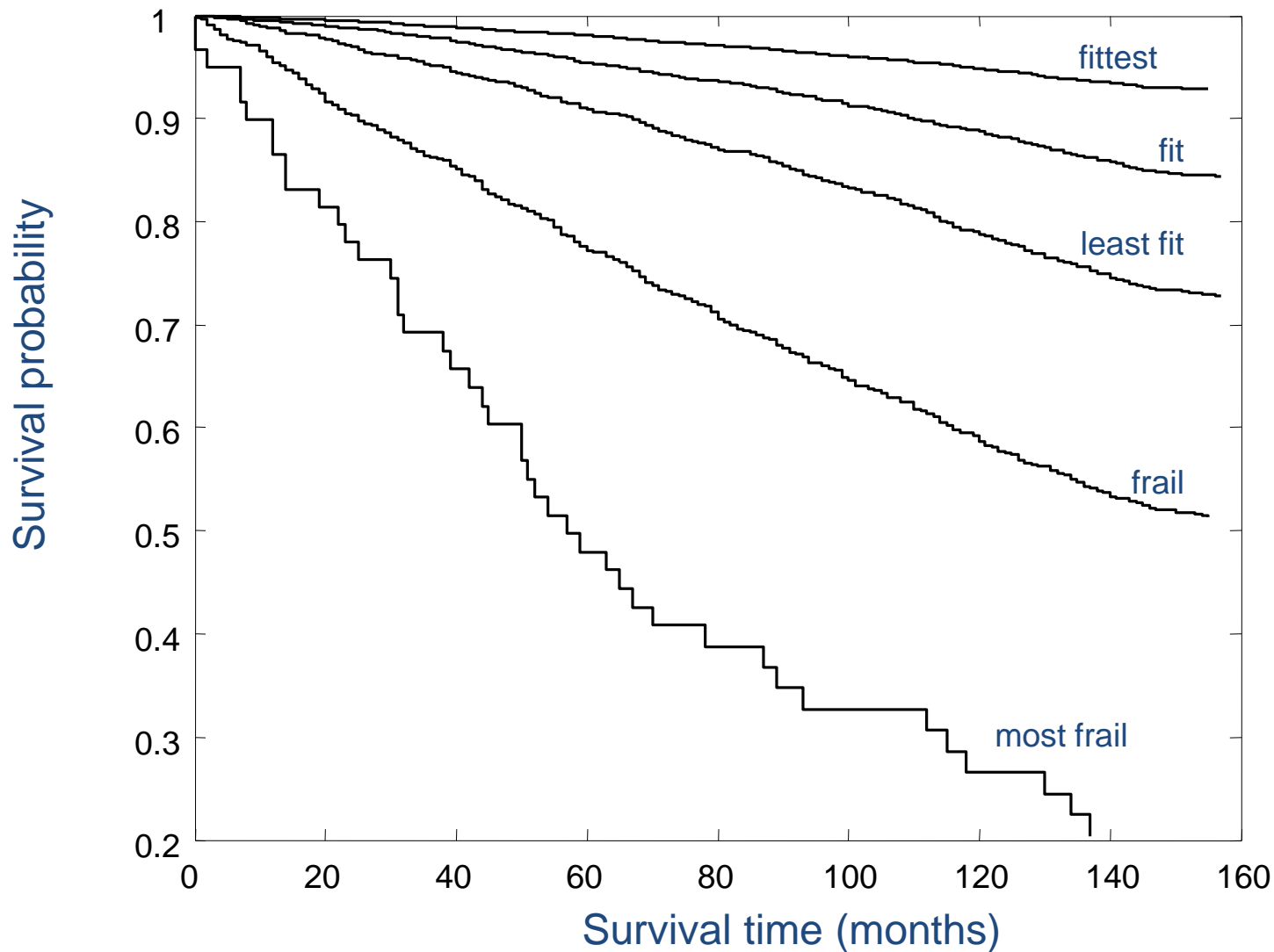
$$\text{Frailty Index} = \frac{\text{Number of deficits an individual has}}{\text{Total number of deficits measured}}$$

e.g. in a dataset with 50 health deficits measured, a person with 10 things wrong (10 deficits) has a frailty index of $10/50 = 0.20$.

Deficits accumulate characteristically in old age



The more health deficits, the shorter the survival

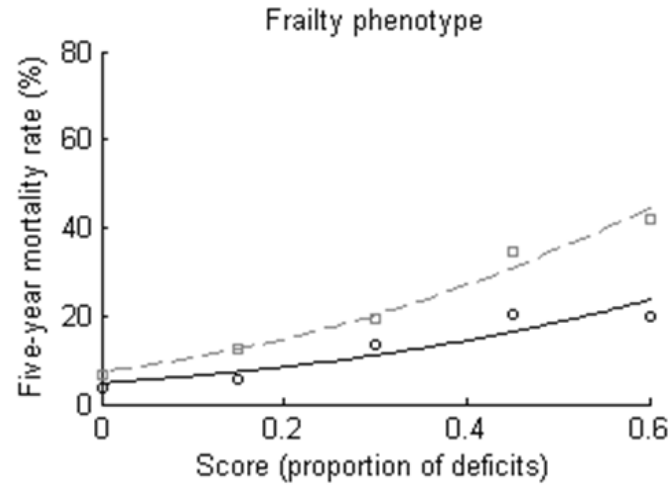
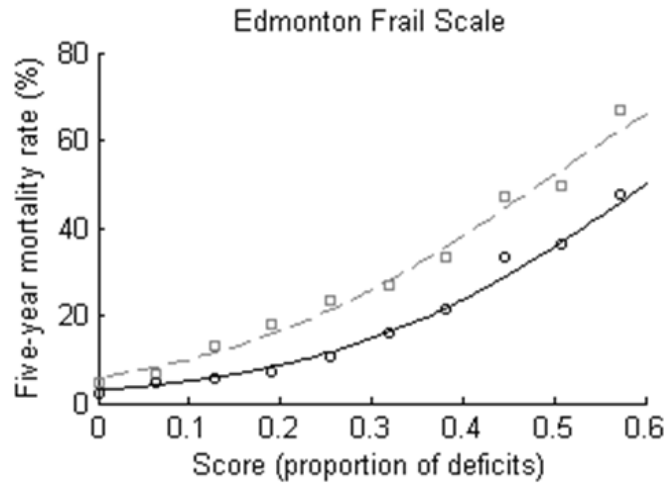


What is frailty?

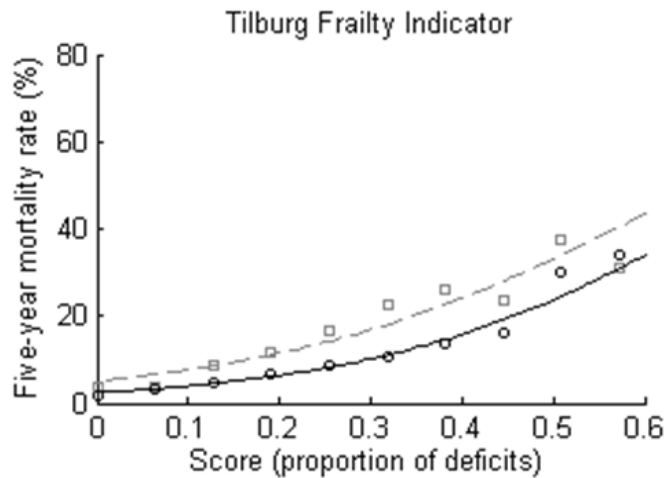
What we have said so far.

- Frailty = increased risk *for that age*.
- Risk varies because people accumulate health deficits at different rates.
- People of the same age have different numbers of things wrong. This is the basis of frailty.
- Does it matter which things wrong people have?

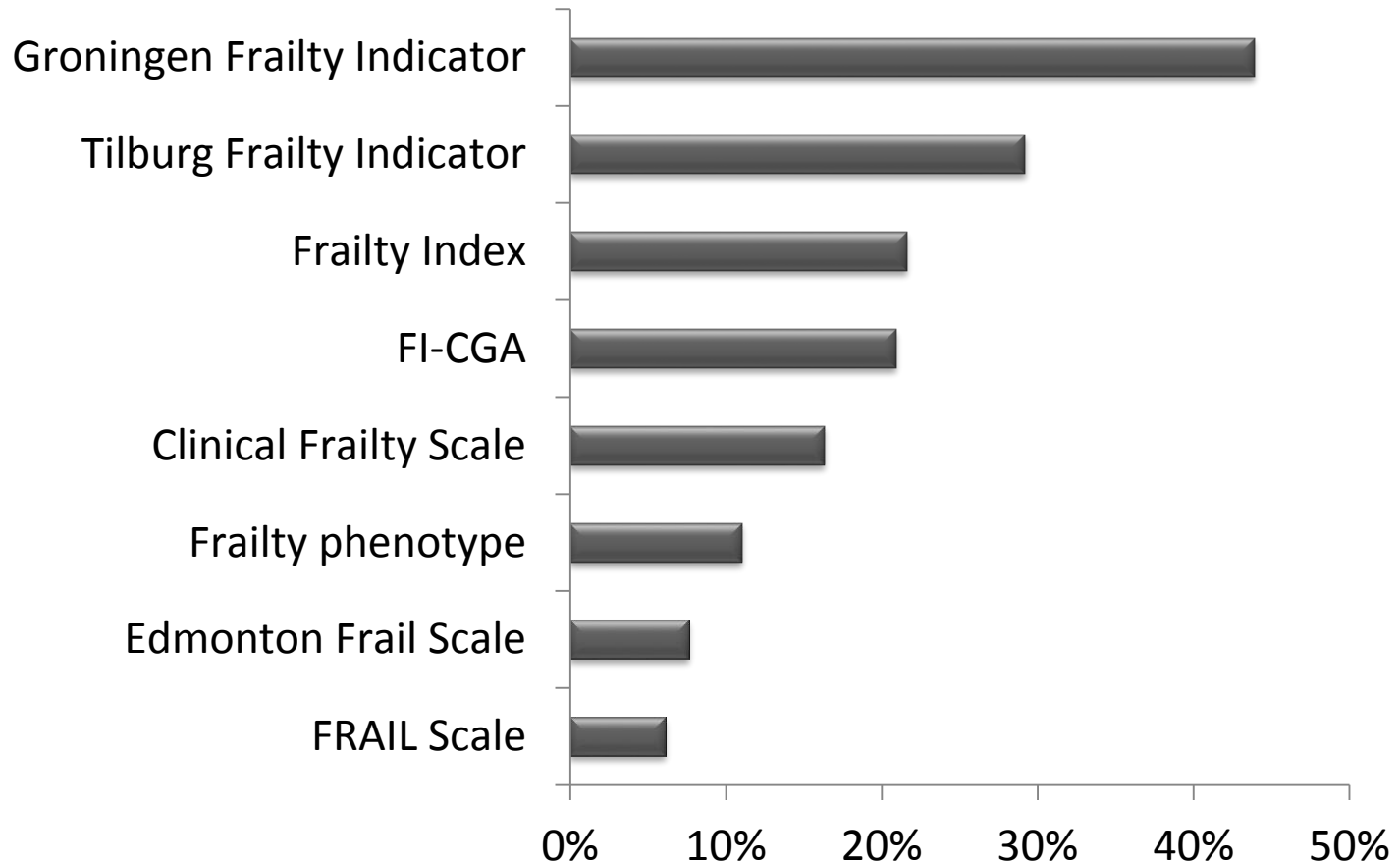
Increase in 5-year mortality



Women
Men

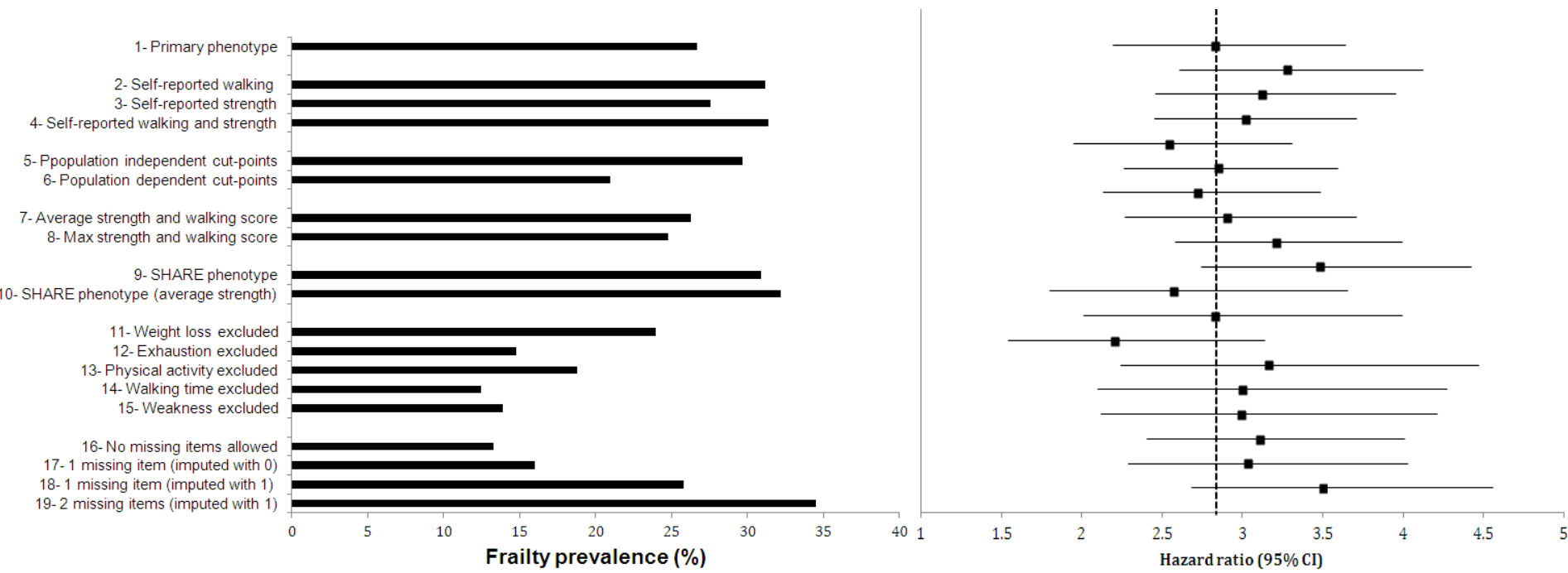


Frailty prevalence varies: effect of cut-points



Modifications of the Frailty Phenotype Criteria

- Systematic Review: 264 included studies
- 24 studies assessed the criteria as proposed in the original frailty phenotype study



Frailty measurement in acutely ill older adults

Screening

- Rapid
- Easy to use
- Valid
- Reliable
- More sensitive than specific

Definitive evaluation

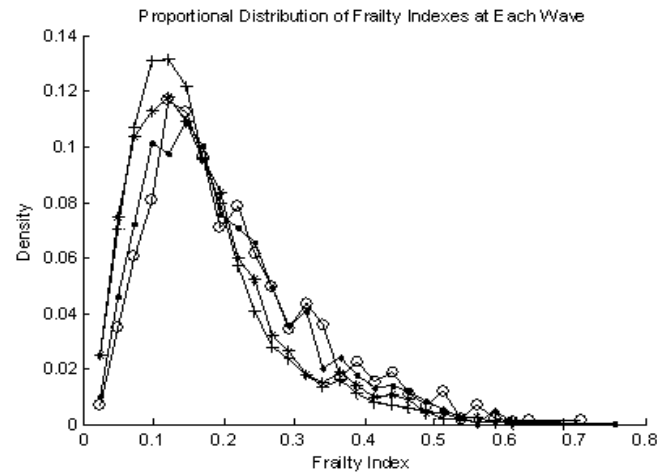
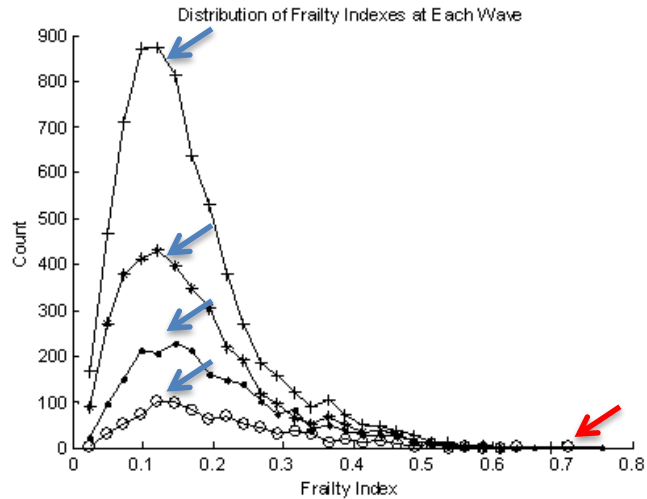
- Feasible
- Easy for routine use
- Valid
- Reliable
- Needs high specificity

A screenshot of a 'Capital Health Comprehensive Geriatric Assessment Form'. The form is a grid-based assessment tool with various sections for recording patient information and clinical findings. It includes checkboxes for symptoms and signs, and a large table for recording vital signs and laboratory results. The form is titled 'Capital Health Comprehensive Geriatric Assessment Form' and includes a barcode at the bottom left.

Distribution of the Frailty Index

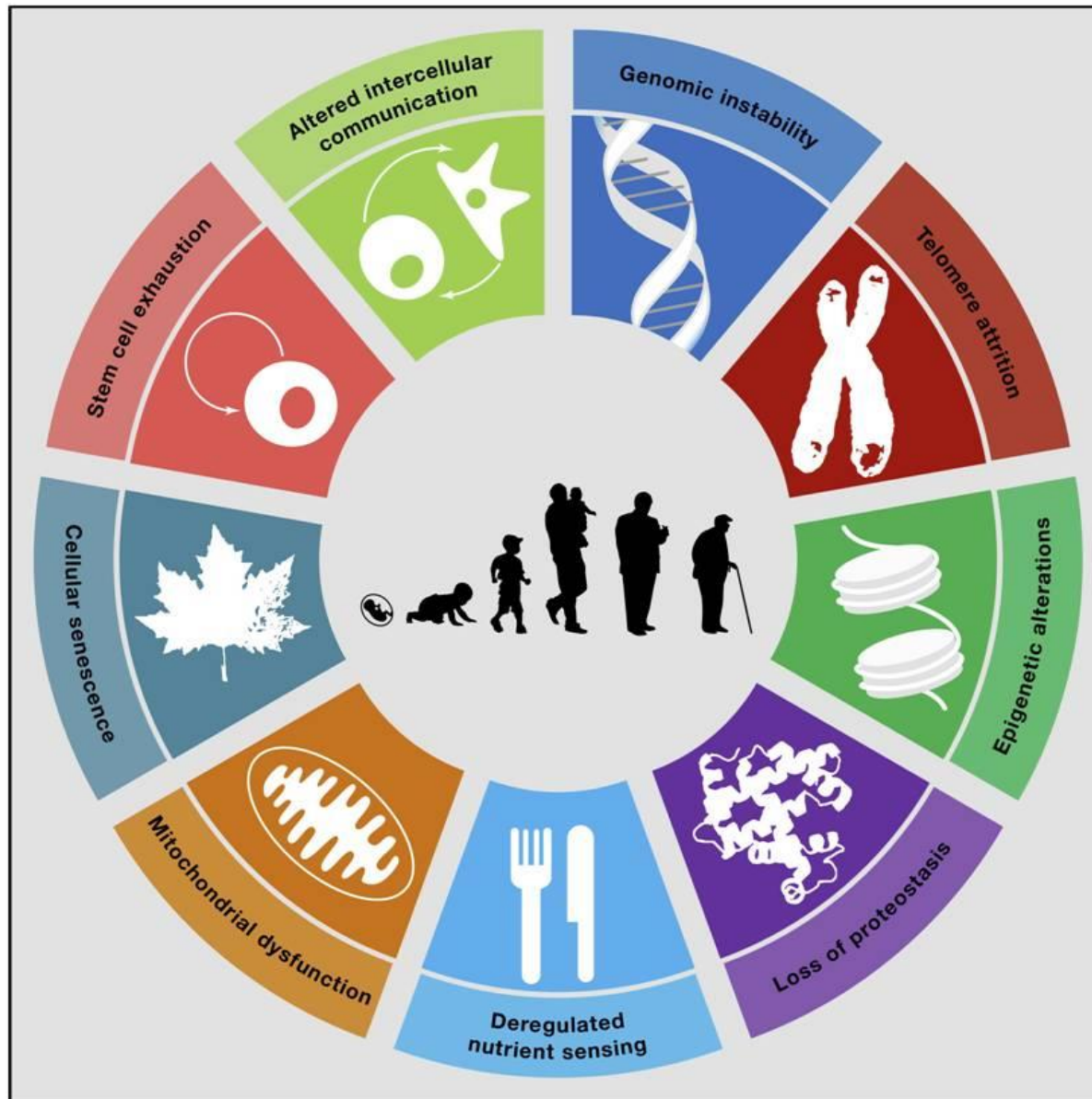
4 waves of the Chinese Longitudinal Health and Longevity Study;

6664 people ages 80-99



Outline (2): Why does frailty matter?

- Health deficits arise across the life course, as cellular/molecular damage goes unremoved or unrepaired. (This is also the basis of ageing.)



López-Otín C, Blasco MA, Partridge L, et al. **The hallmarks of aging.** *Cell.* 2013;153(6):1194-217.4

Fontana L, et al. **Medical research: treat ageing.** *Nature* 2014;511:405-7.

Howlett SE, Rockwood K. **Ageing: develop models of frailty.** *Nature.* 2014;512:253.

Mitnitski A, Rockwood K. **The rate of ageing.** *Biogerontology.* 2015 May 14. [Epub]

Outline (2): Why does frailty matter?

- Frail older adults challenge health care in their complexity, which we must embrace.
- Much of what we must learn, and what we must do, sounds, at our peril, to be simple.



Capital Health Comprehensive Geriatric Assessment Form

WNL = Within Normal Limits ASST = Assisted IND = Independent DEP = Dependent

Cognition WNL CIND MCI Dementia Delirium MMSE: _____ FAST: _____
Chief lifelong occupation: _____ Education (years): _____

Action Required
 Monitor

Emotional WNL ↓ Mood Depression Anxiety Fatigue Hallucination Delusion Other
 Motivation High Usual Low Health Attitude Excellent Good Fair Poor Couldn't say
 Communication Speech WNL Impaired Hearing WNL Impaired Vision WNL Impaired
 Strength WNL Weak Upper: PROXIMAL DISTAL Lower: PROXIMAL DISTAL
 Exercise Frequent Occasional Not

Patient contact:
 Inpatient
 Clinic
 GDH
 NH
 Outreach
 Home
 Assisted Living
 ER
 Other

		WNL				Impaired Number	WNL				Impaired Number	NOTES
		N	Y				N	Y				
<input type="radio"/> Balance	Balance Falls											
	<input type="radio"/> Mobility	Walk Outside	IND	SLOW	ASST	Can't	IND	SLOW	ASST	Can't		
		Walking Transfers	IND	Stand by	ASST	DEP	IND	Stand by	ASST	DEP		
		Bed Aid	IND	PULL	ASST	DEP	IND	PULL	ASST	DEP		
		None	Cane	Walker	Chair	None	Cane	Walker	Chair			
<input type="radio"/> Nutrition	Weight	GOOD UNDER OVER OBESE				STABLE	LOSS	GAIN				
	Appetite	WNL	FAIR	POOR		WNL	FAIR	POOR				
<input type="radio"/> Elimination	Bowel	CONT	CONSTIP	INCONT		CONT	CONSTIP	INCONT				
	Bladder	CONT	CATHETER	INCONT		CONT	CATHETER	INCONT				
<input type="radio"/> ADLs	Feeding	IND	ASST	DEP		IND	ASST	DEP				
	Bathing	IND	ASST	DEP		IND	ASST	DEP				
	Dressing	IND	ASST	DEP		IND	ASST	DEP				
	Toileting	IND	ASST	DEP		IND	ASST	DEP				
<input type="radio"/> IADLs	Cooking	IND	ASST	DEP		IND	ASST	DEP				
	Cleaning	IND	ASST	DEP		IND	ASST	DEP				
	Shopping	IND	ASST	DEP		IND	ASST	DEP				
	Medications	IND	ASST	DEP		IND	ASST	DEP				
	Driving	IND	ASST	DEP		IND	ASST	DEP				
	Banking	IND	ASST	DEP		IND	ASST	DEP				

PT = PATIENT
CG = CAREGIVER

Current Frailty Score:

Scale	PT	CG
1. Very fit		
2. Well		
3. Well with 1 or 2 co-morbid disease		
4. Apparently vulnerable		
5. Mildly frail		
6. Moderately frail		
7. Severely frail		
8. Very severely frail		
9a. Terminally ill - walker		
9b. Terminally ill - bed		

Sleep Normal Disrupted Daytime drowsiness Socially Engaged Frequent Occasional Not

Social Married Lives Home Supports Caregiver Relationship Caregiver Stress
 Divorced Alone House (Levels____) Informal Spouse None
 Widowed Spouse Steps (Number____) HCNS Sibling Low
 Single Other Apartment Other Offspring Moderate
 Assisted Living Req. more support Other High
 Advance directive in place? Yes No Nursing home None Code Status Do not resuscitate Resuscitate
 Other Code Status Do not resuscitate Resuscitate

Caregiver occupation (CG): _____

Problems: _____ Med adjust req. _____ Associated Medication: (*mark meds started in hospital with an asterisk)
 1. _____ _____
 2. _____ _____
 3. _____ _____
 4. _____ _____
 5. _____ _____
 6. _____ _____
 7. _____ _____
 8. _____ _____
 9. _____ _____
 10. _____ _____
 11. _____ _____
 12. _____ _____

Comprehensive Geriatric Assessment Form

© Geriatric Medicine Research, Dalhousie University





Comprehensive Geriatric Assessment



WNL = Within Normal Limits ASST = Assisted IND = Independent DEP = Dependent

Cognition WNL CIND MCI Dementia Delirium MMSE: 16 FAST: 3

Chief lifelong occupation: Office Manager Education (years): 16

Emotional WNL ↓Mood Depression Anxiety Fatigue Hallucination Delusion Other

Motivation High Usual Low Health Attitude Excellent Good Fair Poor Couldn't say

Communication Speech WNL Impaired Hearing WNL Impaired Vision WNL Impaired

Strength WNL Weak Upper: PROXIMAL DISTAL Lower: PROXIMAL DISTAL

Exercise Frequent Occasional Not

Balance Balance WNL (Impaired) WNL (Impaired)

Action Required
 Monitor
 Inpatient
 Clinic
 GDH
 NH
 Outreach
 Home
 Assisted Living

		BASELINE (two weeks ago)				CURRENT (today)			
		IND	PULL	ASST	DEP	IND	PULL	ASST	DEP
		None	Cane	Walker	Chair	None	Cane	Walker	Chair
<input type="radio"/> Nutrition	Weight Appetite	GOOD	UNDER	OVER	OBESE	STABLE	LOSS	GAIN	
<input type="radio"/> Elimination	Bowel Bladder	WNL	FAIR	POOR		WNL	FAIR	POOR	
		CONT	CONSTIP	INCONT		CONT	CONSTIP	INCONT	
		CONT	CATHETER	INCONT		CONT	CATHETER	INCONT	
<input type="radio"/> ADLs	Feeding	IND	ASST	DEP		IND	ASST	DEP	
	Bathing	IND	ASST	DEP		IND	ASST	DEP	
	Dressing	IND	ASST	DEP		IND	ASST	DEP	
	Toileting	IND	ASST	DEP		IND	ASST	DEP	
<input type="radio"/> IADLs	Cooking	IND	ASST	DEP		IND	ASST	DEP	
		IND	ASST	DEP		IND	ASST	DEP	

ACTION REQUIRED (check appropriate)

Problems:

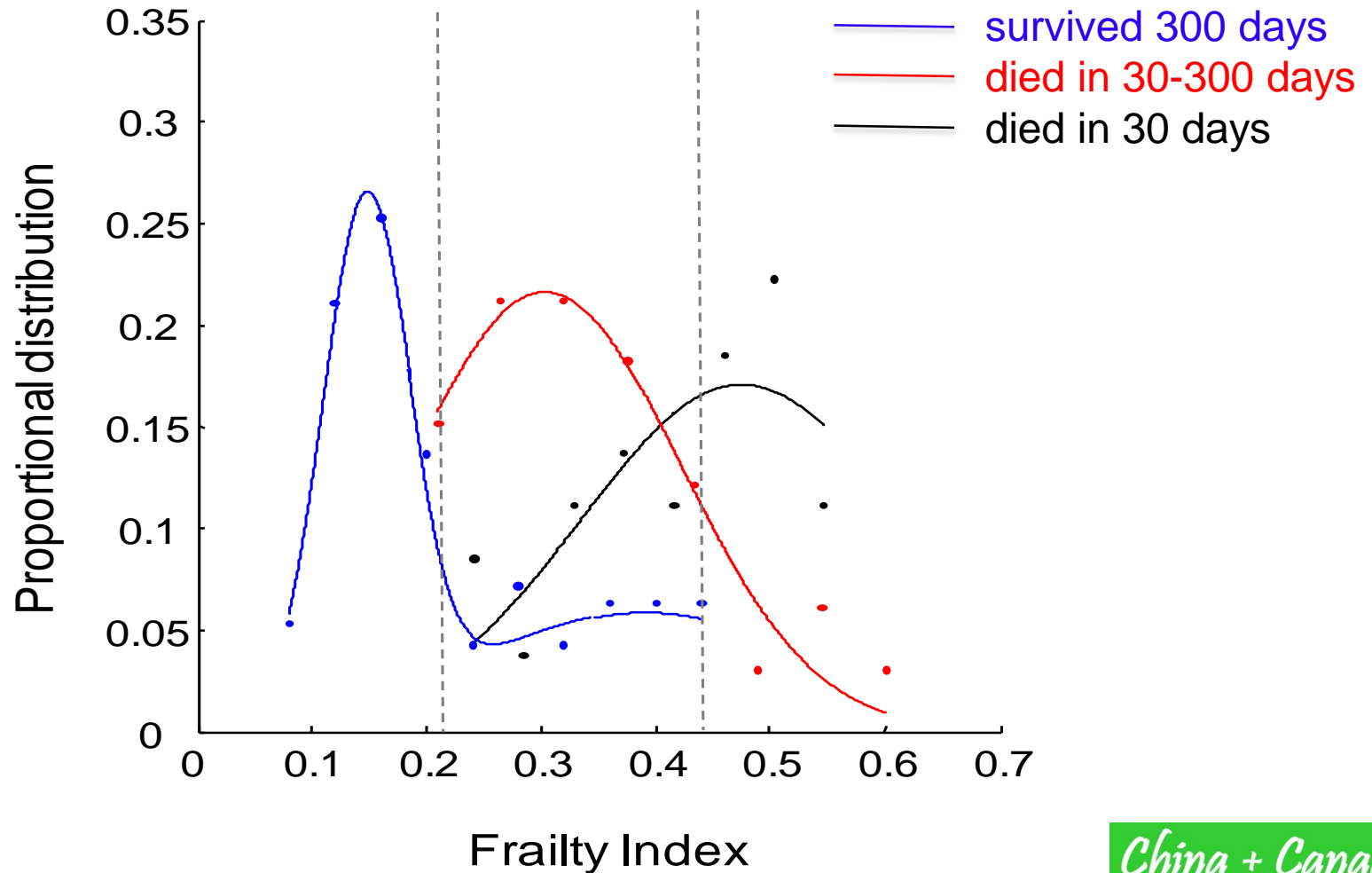
- Weak and dizzy - falls
- COPD
- Osteoarthritis
- Osteoporosis
- Mild CRF
- Disordered sleep
- Hypertension
- GERD
- Large lung mass (XR NYD)
- Anemia hgb 85(N) indices
- Hyponatremia Nat = 128
- Hypoalbuminemia (22)
13. Hypoxemia (Sa O2 92% RA)

Med adjust req. Associated Medication: (*mark meds started in hospital with an asterisk)

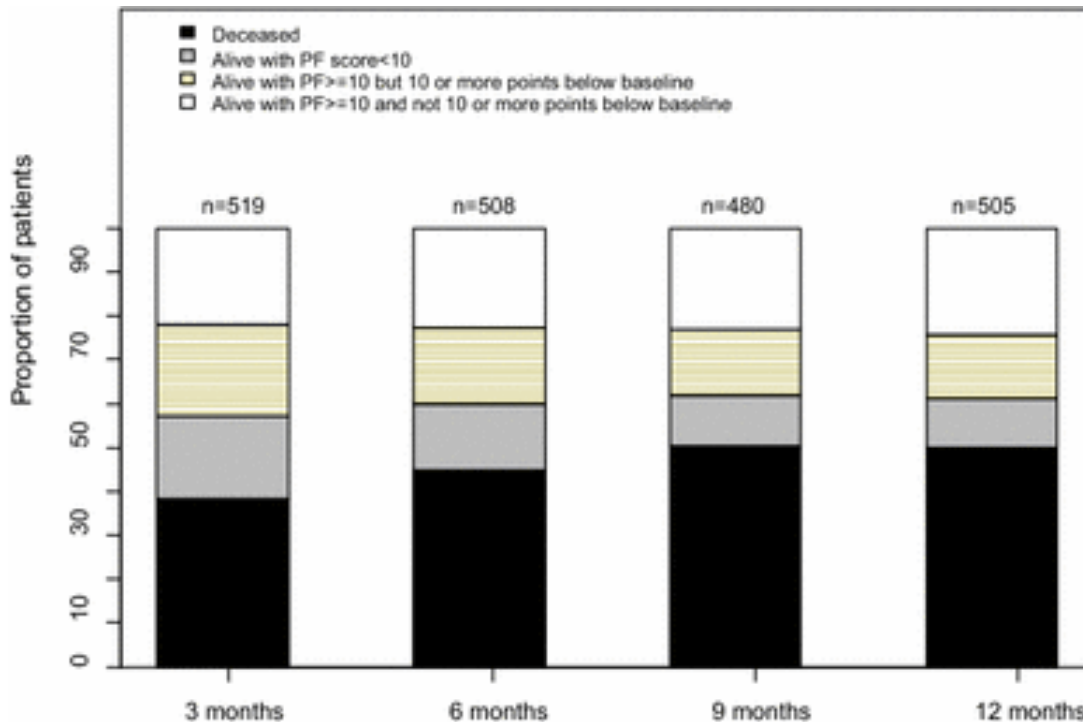
- Advir/Ventolin +/- Prednisone
- Tylenol PRN (no NSAIDs)
-
- Ramipril 5 mg/day
- Trazadone 50 mg PO qhs
- Amlodipin 5 mg
- Omeprazol 20 mg/day

Assessor/Physician: _____ Date: 2012/01/01
(YYYY/MM/DD)

Guangzhou Specialized Geriatric ICU FI Distribution



Outcomes of Intensive Care of People aged 80+ years



Primary ICU diagnosis
Co-morbidity
Baseline physical functioning
Frailty Index

AUC=0.79

Heyland et al. *Intensive Care Med* 2015;2015;41:1911-1920.

Reasons to collect data on frailty in routine care

Areas in which frail people do better

- Hypertension treatment
Warwick et al. *BMC Med*
2015;13:78
- Testosterone therapy
Kenny *J Am Geriatr Soc*
2010;58(6):1134-43
- Comprehensive Geriatric
Assessment Ellis *BMJ*
2011;343:d6553

Areas in which frail people do less well.

- Trauma surgery Joseph et
al. *JAMA Surgery* 2014
- Acute myocardial infarction
Ekerstad *Circulation* 2011

**Is this a signal to improve
care overall?**

“The modern general hospital is complex, expensive and has proved harmful to many people, and so simpler, cheaper and safer care alternatives have been sought, particularly for older people who are now the predominant users of hospital care.”



Young J, Gladman JR, Forsyth DR, Holditch C. **The second national audit of intermediate care.** *Age Ageing.* 2015;44:182-4.

Andrew MK, Rockwood K. **Making our health and care systems fit for an ageing population: considerations for Canada.** *Can Geriatr J.* 2014;17(4):133-5.

Oliver D. **Re: making health and care systems fit... Why we wrote it, who we wrote it for, and how relevant it might be to Canada.** *Can Geriatr J.* 2014;17(4):136-9

Table 1 Clinical and laboratory data used to construct the FI-LAB

Variable ^a	Low cut-off	High cut-off
Albumin (g/L)	32	45
AST (SGOT; IU/L)	8	33
BP, supine systolic (mmHg)	90	140
BP, supine diastolic (mmHg)	60	95
Calcium (mM)	2.0	2.6
Creatinine (μM)	59	133
Folate (nM)	14	31
Folate, RBC (nM)	140	310
Glucose, fasting (mM)	3.9	6.1
Hemoglobin (g/L) ^b	115	165
Mean corpuscular volume (fL)	95	105
Phosphatase, alkaline (IU/L)	35	125
Phosphorus, inorganic (mM)	0.8	1.4
Potassium (mM)	3.5	5.0
Protein, total (g/L)	60	78
Sodium (mM)	136	142
TSH (μIU/L)	0.5	5
Thyroxine (T4; nM)	71	161
T4, Free (pM)	12	30
Urea (mM)	2.9	8.2
VDRL	0	0
Vitamin B12 (pg/L)	118	701
White blood cells (number/L)	1.8×10^9	7.8×10^9

FI-LAB

Variable ^a	Low cut-off	High cut-off
Potassium (mM)	3.8	5
Protein, total (g/L)	60	78
Sodium (mM)	136	142

Clinical vs. subclinical deficit accumulation

A: Clinical frailty index

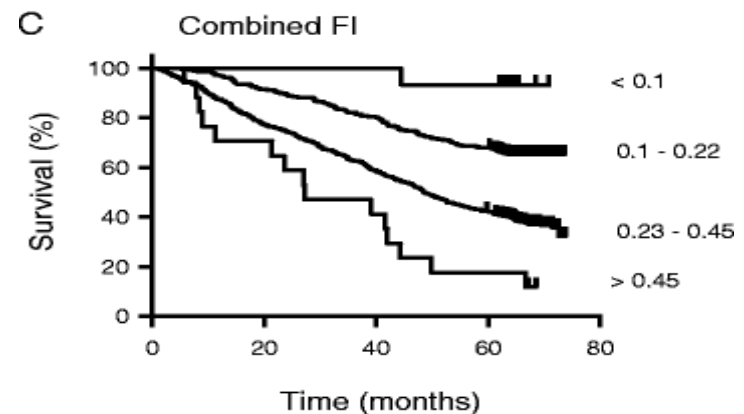
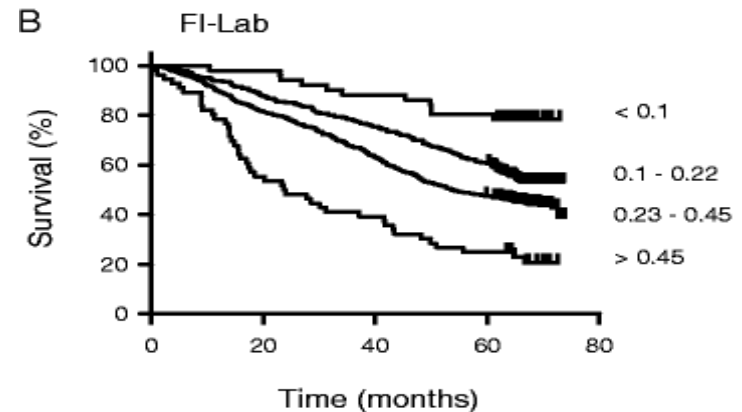
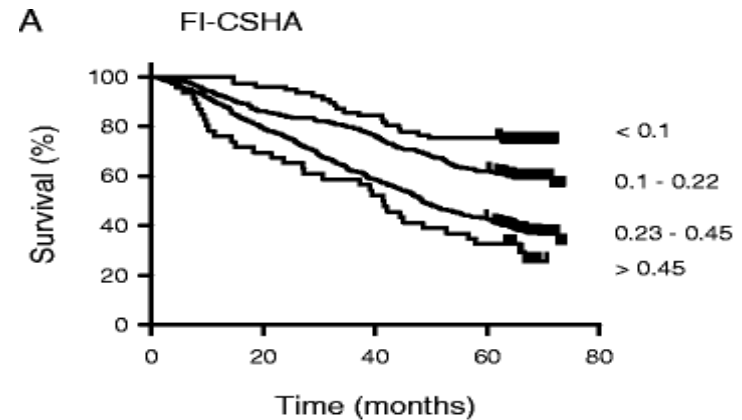
B: FI-LAB

C: Combined frailty index

N=1008; Canadian Study of Health & Aging, 1st Clinical exam participants.

Howlett et al., *BMC Medicine* 2014;12:171 see also

Rockwood et al. *J Am Med Dir Assoc* 2015 May 5 E-pub



Outline (1): What is frailty?

- Frailty reflects multiply determined risk, *greater than for others of the same age.*
- It can be viewed as a *state* or as a *syndrome*.
- In population, clinical and basic science studies all instruments measure frailty by the number of *health deficits*.

Outline (2): Why does frailty matter?

- *Health deficits* arise across the life course, as cellular/molecular damage goes unremoved or unrepaired. (This is also the basis of ageing.)
- Frail older adults challenge health care in their complexity, which we must embrace.
- Much of what we must learn, and of what we must do, can sound, to our peril, to be simple.

Measuring deficit accumulation across the life course:



The frailty index quantifies age-related health deficit accumulation.

Its characteristic behaviour suggests specific ageing mechanisms, now being studied by our group.

Acknowledgments

Funding sources:

- Fountain Innovation Fund of the QEII Health Sciences Foundation
- Canadian Institutes of Health Research
- Mathematics of Information Technology and Computer Science program, National Research Council
- Alzheimer Society of Canada
- National Natural Science Foundation of China
- Dalhousie Medical Research Foundation

Colleagues & students:

- Arnold Mitnitski
- Susan Howlett
- Xiaowei Song
- Olga Theou
- Josh Armstrong
- Melissa Andrew
- Samuel Searle
- Judah Goldstein
- Pulin Yu
- Jing Shi
- Qiuqaii Hao
- Michael Rockwood
- Miranda MacMillan
- Joanna Blodgett

Geriatric Giants

immobility

instability

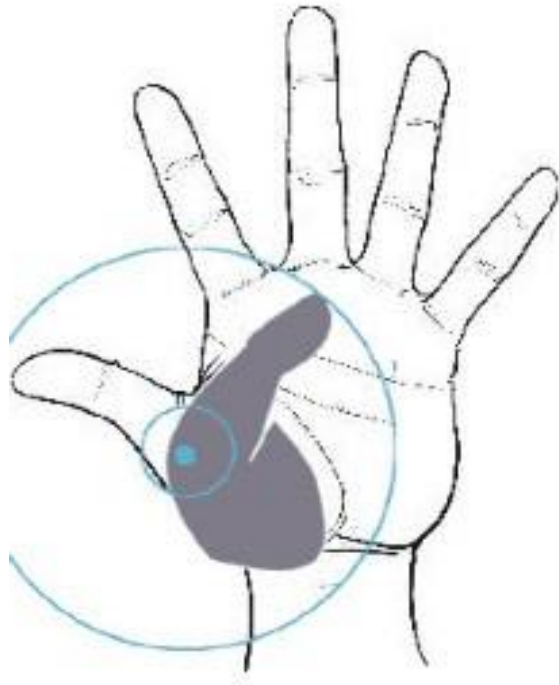
incontinence

impaired intellect/memory

impaired independence

“sensitive but non-specific signs of illness in older adults”

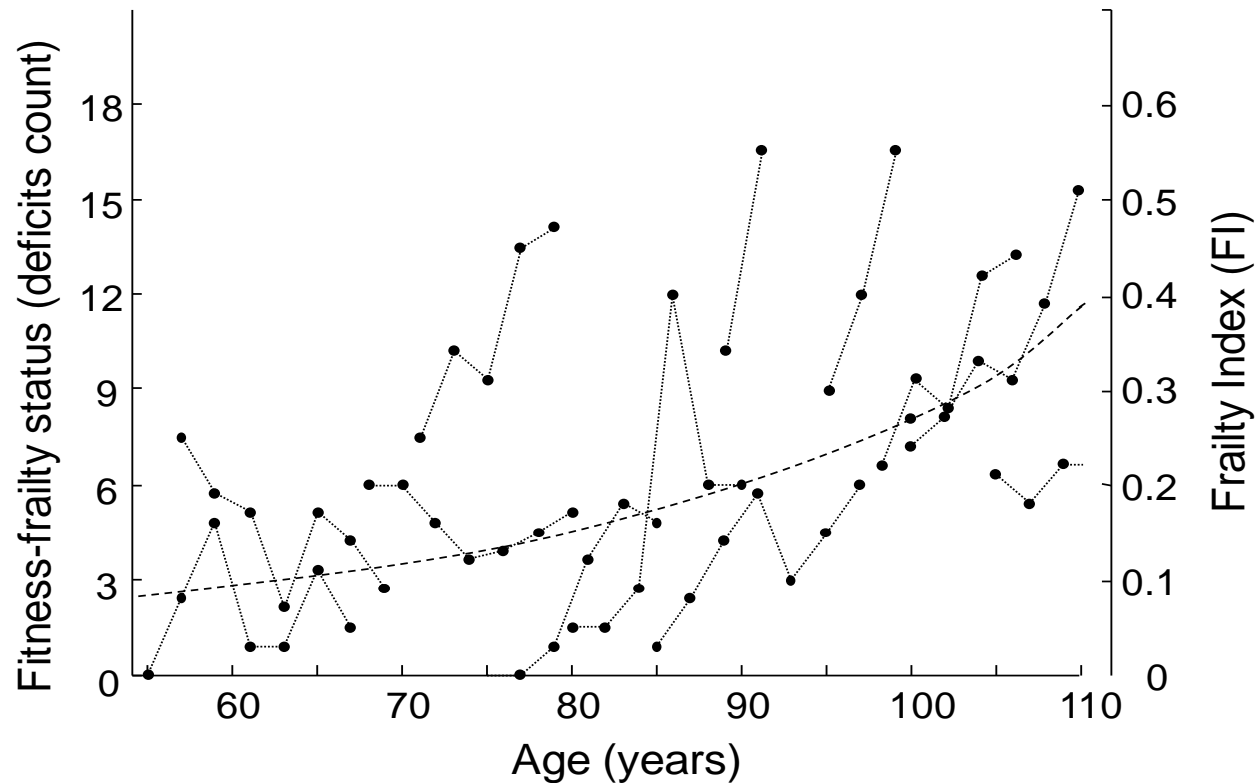
Isaacs B. *The Challenge of Geriatric Medicine*. OUP 1980



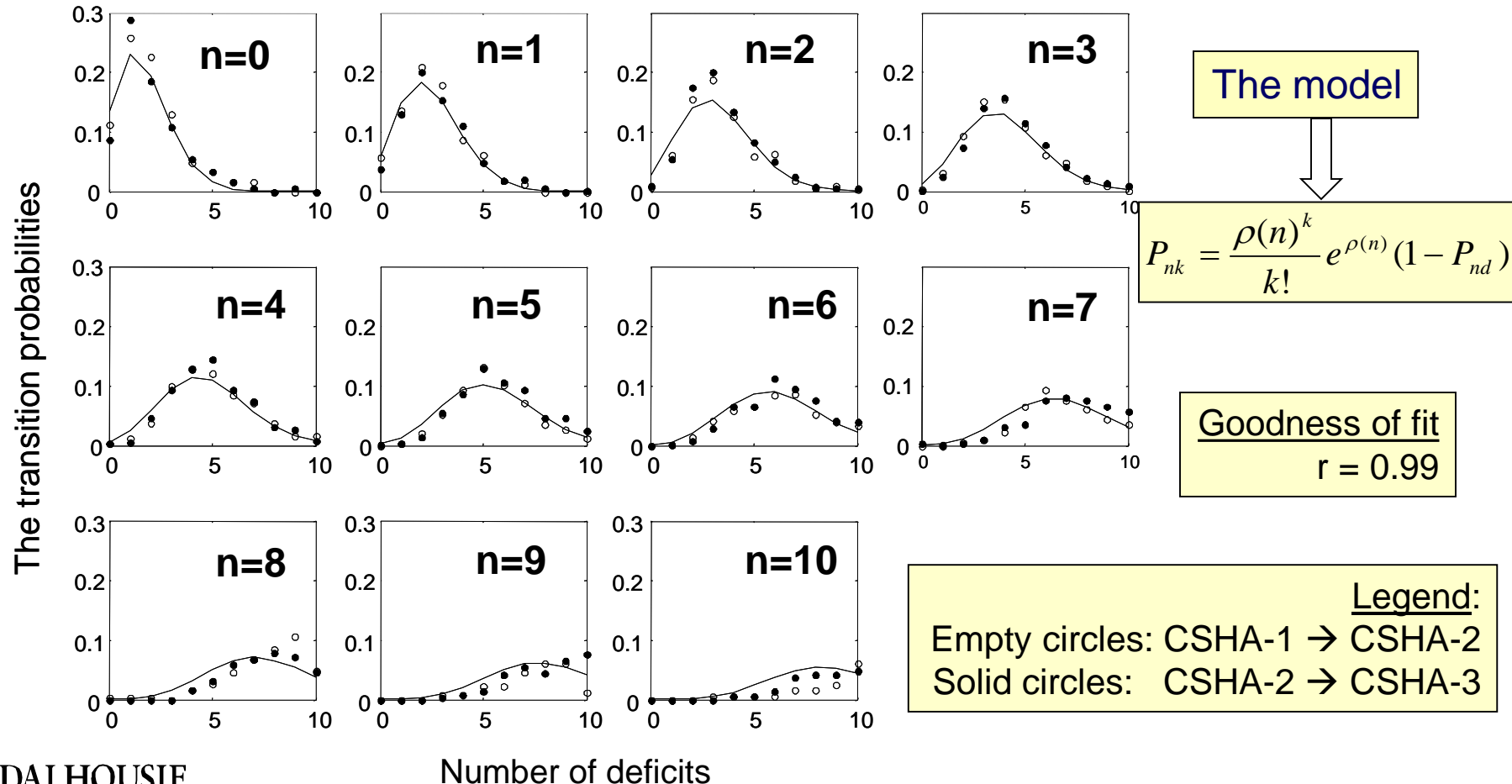
The “Geriatric Giants” in a new light

- The items that integrate resiliency to determine overall health status (mobility, function, cognition, social engagement) are not seen as falling within the remit of a history and physical examination.

Individuals show many trajectories in accumulation health deficits



5-year transitions between different states of health (empty circles), replicated 5 years later (solid circles)*



eFI Distribution (UK) from routinely collected GP data.

