NSF Award Cash Management Service
Agenda

- GCMS Monitoring Activities
- Small Business Innovation Research (SBIR)
- Returning Funds to NSF
- Questions
- Open Discussion
Grantee Cash Management Section
Monitoring Activities
GCMS Monitoring Activities

- Program Income
- Cancelling Funds
- Final Unliquidated Balances
- Balances of Concern
- Future Monitoring Activities
Gross income earned by the grantee that is directly generated by a supported activity or earned as a result of the grant.

Conference Grants are now deductive [FL26 Article 4](http://example.com)
Includes but not limited to:

- Fees charged to register participants for a workshop or conference
- Rental or usage fees charged for use of supplies or equipment purchased with grant program funds
- Services or items fabricated or produced under a sponsored program such as books and publications, software.
- Income from the sale of real property
- Income from Membership fees charged to individuals and organizations for grant related activities

Does not Include:

- Interest earned on deposit made from reimbursement
- Royalties from patents, copyrights, etc.
- Is not your total draw down amount requested for any given period

<table>
<thead>
<tr>
<th>Award #</th>
<th>Funds Authorized</th>
<th>Cash Disbursed</th>
<th>Available</th>
</tr>
</thead>
<tbody>
<tr>
<td>Award 1</td>
<td>$446,000.00</td>
<td>$247,475.17</td>
<td>$198,524.83</td>
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<tr>
<td>Award 2</td>
<td>$128,500.00</td>
<td>$123,833.32</td>
<td>$4,666.68</td>
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</tbody>
</table>
Reporting Worksheet Instructions

The 2014 Proposal and Award Policies and Procedures Guide (PAPPG) requires that all awardee organizations submit a Program Income Reporting Sheet. Starting October 1st all awardee organizations must report the amount of program income earned and expended as of September 30th each year. Awardee organizations will be required to submit the Program Income Reporting Sheet by November 14 in order to report the amount of program income earned and expended as of the end of the previous Federal fiscal year.

Program income is gross income earned by the awardee organization that is directly generated by a supported activity or earned as a result of NSF funding. This would include things such as fees for services performed, the use or rental of real or personal property acquired under the grant, the sale of commodities or items fabricated under the grant, and license fees. Interest earned on advances of Federal funds is not program income.

Program Income Reporting Worksheet

1. To start, you must first click Download the Program Income Reporting Worksheet at the top of the Program Income page.

2. Once opened, begin by completing the following sections at the top left of the worksheet:
   1. Organization Name: Enter your organization's name
   2. NSF Organization ID: Enter your organization's NSF ID (10 characters)
   3. State: Enter your organization's state abbreviation code (e.g., VA)
   4. Reporting Period: Pre-populated by NSF

3. Next, you will need to list your NSF awards that earned program income. If no awards have program income, skip to Step 8.

4. For each award listed, enter the cumulative amount of program income earned since the start of the award. Please note, program income does not include:
   1. Interest earned on deposit,
   2. Royalties from patents and copyrights,
   3. And is not your total draw down amount requested for any given period.

You must also determine the type of program income reported based on award terms and conditions and enter into
The Program Income Reporting Worksheet will be available to awardees through Research.gov starting October 1st.

Access webpage address: http://research.gov/programincome

November 14, 2016 was the deadline for awardees to update, certify and submit the Program Income Reporting Worksheet to NSF for FY16.
Results

🌟Received over _____ program income reports
canceling Appropriations

Each year NSF and our grantees lose $5 to $9 million in canceling funds.

Public Law 101-510 requires that all federal agencies financially close fixed year appropriation accounts and **cancel** any remaining balances by September 30th of the 5th year after the period of availability.

Example:
- 2011/2012 Appropriation obligated until 9/30/2012
- Expend funds from obligated year (2011) through 9/30/2017
- Funds cancel on September 30, 2017 – return to US Treasury

The federal appropriation and not the effective/expiration dates of the award determines when it will cancel.
ACM$ will be closing on **September 22, 2017** at 12:00pm EDT for year end.

After the cancel date:

- **no adjustments**
- **no extensions**

GCMS will continue to reach out to awardees who have cancelling funds throughout FY 2017.
Balances of Concern

- 3 months prior to expiration
- 75% or > unliquidated

Email inquiry
- Is there a problem?
Small Business Innovation Research (SBIR)

• Effective July 1 all new SBIR grants began being paid through ACM$

• First group was 184 grantees

• Expect approximately 600 new grantees after full conversion is complete
Returning Funds to NSF

ACM$

- Transaction total must be zero dollars or greater
- Mailed Check to NSF Cashier
- Pay.gov, which now includes payment options for:
  - Debit and Credit card,
  - PayPal, and
  - Dwolla
ACM$ Maintenance Tasks

- Clean up Fastlane contacts – contact information is used to send NSF financial related information.
- Clean up draft transactions in ACM$
Updated GCMS Contact List

Chris Berner– Acting Section Head– cmberner@nsf.gov or (703) 292-4335. USP & Foreign Grantees

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AL, AR, CO, DE, FL, ID, IN, KY, LA, MA, MS, OR, PA, RI, SC, TN, UT, WV and All Fellowship Payments

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Open Discussion

- Feedback from the institutions
- Best Practices
- Challenges and concerns