

The U.S. Appliance Standards Program



U.S. DEPARTMENT OF
ENERGY

Energy Efficiency &
Renewable Energy

Building Technologies Office
Energy Efficiency and Renewable Energy
United States Department of Energy

DAY TO DAY OBJECTIVES: ENFORCEMENT

Enforce the standards

- *Manufacturers (including importers) and private labelers may not sell products that do not meet the standard levels.*
- *Manufacturers and private labelers pay civil penalties for selling noncompliant products in the United States.*

Ensure compliance

- *Manufacturers (including importers) must test and certify compliance prior to distributing in the United States.*
- *Manufacturers pay civil penalties for failing to submit certification reports and/or failing to test.*
- *DOE answers hundreds of inquiries to assist regulated community with compliance.*

Certification and Compliance

- **Certification**
 - Manufacturers must test their products to determine energy/water efficiency or consumption.
 - They must then certify to the Department that their products meet the applicable standard(s).
 - Certification compliance levels correlate with manufacturer awareness of standards.
 - These requirements apply to importers, as well as traditional OEMs.
- **Certifications to Date**
 - DOE has received approximately 15.6 million submissions since January 1, 2010
 - Currently, DOE has 2.3 million active products that have been certified
 - 100-percent of certifications are reviewed by DOE
 - Outreach to importers to improve certification rates
- **Online, Public, Searchable Certification Database**
 - Importers are beginning to require listing prior to importation
 - Major retailers are beginning to require listing prior to purchase
 - Removed all obsolete (older than 1 year) certifications in 2014
- **Negotiated Rulemakings**
 - After 3 years of opposition, manufacturers of commercial HVAC, WH and refrigeration equipment are certifying the compliance of their products as a result of a negotiated rulemaking.
 - Negotiated a framework for enforcement of regional standards for central air conditioners

DOE Office of General Counsel Leads Enforcement with BTP Support

- Testing
 - Maximize resources: Testing program supports rulemakings and enforcement
 - Resulting in many more standards cases as enforcement program matures
- Enforcement Activity
 - Approximately 300 open enforcement actions
 - Collected over \$15 million in civil penalties for violations
 - 2015 to date: 300 individual models found noncompliant
- More information
 - Enforcement site: <http://energy.gov/gc/enforcement>
 - Provides information about every case closed with a penalty or a finding of noncompliance
 - Provides tips for importers
 - Provides links to regulations and important resources for manufacturers/importers
 - Report potential violations to energyefficiencyenforcement@doe.gov
 - Product-specific information online at <http://energy.gov/eere/buildings/standards-and-test-procedures>.