

GS 1 “Invest in Yourself”

Larry Harrington, Chairman of The IIA Global Board and CAE for Raytheon Company

Internal audit is an important and a valuable ally to leadership in today’s dynamic global business environment. Larry will share his views on how internal audit leaders must be prepared to meet the rapidly changing needs and expectations. He will explore the importance of knowing the risks and where you are succeeding, and possibly failing, in meeting stakeholder expectations. Additionally, he will discuss investing in your own growth and continuous learning as being essential to your personal success, including developing a self-employed attitude and understanding the ROI of investing in yourself. Larry’s high energy style will challenge all internal auditors to grow and contribute at their full potential.

CS1-1 “Wearable Technology: Is it Worth the Risk?”

E.J. Hilbert, Director, PricewaterhouseCoopers

Wearable Technology has been around for a long time in various forms. While most of its initial use focused around government, military or safety roles, it is now mainstream. With the explosion of the Internet of Things and the push to interconnect every aspect of life, Wearable Tech is the latest iteration to connect human and machine. But at what risk? With each connection comes a decrease in privacy and change to the concept of "Need to Know". Data is collected, transmitted, analyzed and then what? This presentation will discuss the influx of Wearable Tech into the market, its uses and its risks for both users and corporate entities deploying and supporting its use.

CS1-2 “The Illusion of Fraud: Deception, Deflection & Distraction”

Mike Fucilli, CAE for \$15 billion Public Sector company

With organizations losing an estimated 5 percent of their annual revenues to fraud, the need is clear for a strong anti-fraud stance and proactive, comprehensive approach to combating fraud. As auditors and companies increase their focus on risk, they should take the opportunity to consider, enact and improve measures to detect, deter and prevent fraud. This session will explore how organizations can integrate anti-fraud initiatives, including the use of the COSO Framework, into their audit activities. This presentation will discuss the key sources of fraud risks, the internal control environment and the establishment of an anti-fraud culture. Participants will learn how to:

- Discuss fraud risks and the factors that influence them.
- Analyze the COSO framework and its application to managing fraud risk.
- Identify the elements of a strong ethical corporate culture.
- Promote fraud awareness to employees at all levels of the organization.

CS1-3 “Endless Possibilities at Your Fingertips: Optimizing Analytics”

Philip Billeaud, Sr. Audit Manager, LyondellBasell Industries

While data analytics has been an audit buzz word for many years, efforts to establish teams and implement analytics into the audit plan continue to challenge internal audit functions. This session is designed to facilitate the development of a data analytic function and optimize existing data analytic efforts. The presentation will cover the following areas:

- People, processes and tools,
- Establishing expectations with stakeholders,
- First steps and incremental improvements,
- Stand-alone data analytic audits,
- Integration into regular audits,
- Communicating results.

CS1-4 “A Reflection of You: How to Create an Internal Audit Brand”

Jay Qatato, Director of Internal Audit, Calpine Corporation

Organizations are often associated with a “brand”. We are all familiar with and trigger a value perception for a highly recognized company name brand, like Apple, Disney, GE, Google, Goldman Sachs and ExxonMobil. As an internal audit function, what is your “brand” and its value to the organization? In this presentation, you will hear the steps Calpine’s Internal Audit department took and the challenges encountered to create their brand.

This session will explore some of the factors to consider when creating a brand for your internal audit function, including your industry, the company’s brand, the expected type of services, the value to be delivered, the function’s mission, and the capabilities of your team.

CS1-5 “The Actionable Audit Report: Providing Information, Ideas and Impetus for Action”

Margie Bastolla, Principal, Margie Bastolla Facilitations, LLC

In this highly interactive discussion, participants will learn to enhance the quality of their audit reports by developing audit observations that provide the client with:

- Clear, concise and relevant information,
- Collaborative, insightful and thought-provoking ideas, and
- The essential, beneficial and inevitable impetus to take action.

In addition, this session will explore methods to shape thoughts and organize words to generate maximum impact in the audit deliverable.

CS2-1 “Facing the Unknown: Crisis Management and the Role of Internal Audit”

Ragini Basu, Principal, Deloitte
Kathie Schwerdtfeger, Partner, Deloitte

This session will explore how organizations can better prepare for a crisis (including preparedness, response, and recovery) and help themselves be resilient in the future. The presentation will provide an overview of the Crisis Maturity Model and the role internal audit can have to help drive better crisis preparation and response. Areas to be discussed include:

- Preparing for a crisis,
- Having and operationalizing a crisis plan,
- Responding to a crisis incident, and
- The road to recovery and resiliency.

CS2-2 “Looking for Fraud Through Rose Colored Glasses”

James Mihills, Partner, Weaver

Fraud still occurs despite increased regulatory attention and greater focus on internal controls. Many managers believe that fraud won't occur in their organization, yet research indicates that internal fraud is often committed by long-term employees who are faithful and seemingly dedicated to their organization...often the individuals who no one suspects. This session will provide insight into:

- Where to start – fraud identification, prevention and detection,
- Information technology considerations, and
- How to handle “unusual suspects”.

CS2-3 “Data Visualization & Automation in Audit”

Michael Kano, Director, Sunera

The session will address how audit teams should think about data analytics, automation, and visualization capabilities. This presentation will demonstrate real world examples you can take back to your audit teams to facilitate leveraging data analytics to make your audit activities more effective and efficient. This session will help you:

- Understand how advances in analytics technology and its direct availability to business users has dramatically increased Internal Audit's ability to add value.
- Understand tools available in the marketplace relative to your team's level of maturity and desired proficiency.
- Think about and leverage automation and visualization techniques at your company.

GS2-4 “Reputational Risk: The Good of Being Good”

Pauline Higgins, Managing Partner & Chief Diversity Officer, Pauline E. Higgins & Associates, PC

The time is always right to do what is right. This session will explore some thought provoking reputational dilemmas that may impact your personal and professional integrity and morals, and your ethics should you encounter violation of rules, procedures, guidelines, or face illegal mandates? So, you say that it won't happen to me.

Do you realize that your reputation is not just yours and are you prepared to suffer the consequences of the “good of being good”? It has taken you numerous years of hard work to build a good reputation, but it only takes one minute to lose it. Are you prepared to stand firm even when you cannot save your reputation?

CS2-5 “Capital Project Governance: Ensuring Control Throughout the Project Lifecycle”

Paul Pettit, Director, Protiviti

Managing capital projects can be challenging. Recent surveys indicate that a majority of capital projects finish late, go over budget, or sometimes both - which is not good for management and stakeholders. A project finishing late could lead to lost revenues. Think about a power plant coming online after the summer peak season. How about a college dormitory opening after the school semester has started. What about a theme park ride opening mid-season. This session will explore the root causes and lessons learned from these and other examples of capital projects “gone bad”. It will also cover ways to assist capital projects stay on time and on budget designing and implementing controls that efficiently and effectively govern through the project lifecycle. Internal audit's proactive involvement in the various phases can greatly enhance a project's success.

GS 2 “Big Data and the Internet of Things: Boon or Bust for Your Cybersecurity Efforts?”

Theresa Payton, Founder of Fortalice Solutions, LLC and Former White House CIO

Big data and cybersecurity are hot topics among management and in the Board room, and therefore are a core audit area on most audit plans. Along with the benefits of technology advances and data capture, there are risks associated with inappropriate individuals having unintended access. Theresa will share her insight on applying practical guidance to protect your big data. She will discuss key questions to ask about your organization's data architecture, including about ways to prevent the access, download or copying of data by employees, contractors or third party vendors. She will also share ideas on how to proactively prepare and respond to a cyber-attack on data on your systems or residing on those of a vendor.

During this session, Theresa will also share her views on:

- How cybersecurity has changed in an era of Big Data,
- What you can do to secure your company's and customer's data,
- How cybersecurity will evolve as we move towards the Internet of Things, and
- Concrete steps you must take to recover from cyber-attack without tarnishing your brand.

CS3-1 "Protecting Your "Assets" by Securing Your Systems Controls"

Keith Hall, IT Internal Audit Manager, Phillips 66

Kay Sallee, Chief Information Officer, Phillips 66

Industrial Control Systems (ICS) are used in many different business segments, such as electric, water and wastewater, oil and natural gas, transportation, chemical, pharmaceutical, pulp and paper, food and beverage, and others. This presentation will discuss how the Information Technology group at Phillips 66 is working with the Operations technology groups to securely manage business systems and ICS. This session will also explore:

- How ICS work and where are they typically used.
- Inherent ICS cybersecurity risks and how they can impact your business systems.
- Challenges encountered in auditing ICS.

CS3-2 "Outside the Courtroom – Auditing Under Legal Privilege"

David Searle, Chief Compliance Officer & Associate General Counsel of Bristow Group Inc.

What steps should an internal auditor take when he or she stumbles upon possible fraud or other suspicious activity? During this session, a chief compliance officer for a publicly traded company will cover some of the basic "do's" and "don'ts", including through the use of case study examples. This session will help you:

- Understand the basics of legal privilege and when it may apply to audit work;
- Know when to call the legal department and what to say;
- Understand why lawyers act the way they do and how to communicate with them; and
- Know how to present your findings when auditing under legal privilege.

CS3-3 “Leveraging eDiscovery Technology for Internal Audit”

Priya Keshav, Managing Director, KPMG LLP

Dennis Kiker, Director, KPMG LLP

Internal audits involve increasingly large volumes of data, but auditors have neither the time nor luxury of reviewing “everything” that is potentially relevant to the audit. Rather, they need to quickly find pertinent information. This presentation will demonstrate how modern eDiscovery technologies can assist in the analysis of large volumes of data to more quickly provide information auditors need as compared to traditional methods of review. Specifically, participants will learn to:

- Recognize and identify the myriad sources of information necessary to the audit;
- Understand the key challenges associated with different data types, including unstructured data (e.g., email, office documents), structured data (databases), social media, and mobile devices; and
- Know what technology tools are available and appropriate to each data source and how they can facilitate and accelerate the audit process.

CS3-4 “Lessons of Deflategate: Codes of Conduct, Incident Responses & Disciplinary Proceedings”

David Coleman, Senior Manager, EY

Judge Richard Berman’s vacation of NFL Commissioner Roger Goodell’s decision to uphold Tom Brady’s four-game suspension serves as a potent reminder of the need for consistency in codes of conduct, incident response, and disciplinary proceedings. Goodell (and the NFL) had a relatively low bar to meet – federal judges rarely vacate arbitration awards and only do so in extraordinary circumstances. Judge Berman’s decision demonstrates his conviction that the NFL inconsistently applied Rule 46 of the collective bargaining agreement. This presentation will:

- Underline the importance of well-defined codes of conduct and disciplinary policies, and
- Discuss the development of consistent incident response plans that allow for flexibility of application in disparate circumstances.

CS3-5 “Interviews for Success: An Insider's Approach to Using Body Language”

Jay Abiona, Founder & CEO, Credible Security Solutions

Whether you are an auditor, human resource director, recruiter, hiring manager or CEO of a company, the SECRETS provided in this presentation will help you make a better decision when attempting to hire the ‘right’ person for the job or in conducting an investigation. This presentation will focus on true interviewing skills needed to effectively interview an individual. The discussion will educate attendees on how to observe body language communicated by individuals and how to decipher whether what is communicated verbally is truthful or untruthful, by observing the physical clues that are provided during a conversation. The topics that will be discussed will consist of the following:

- Deception statistics,
- How to set up the room for an effective interview,
- Open ended vs. close ended questions,
- Body language of a truthful/untruthful person, and
- Facial micro-expressions.

GS 3 “Improving Your Internal Audit Brand”

Tim Gard, Motivational Speaker