







External Support for Decentralization Reforms & Local Governance Systems in the Asia Pacific:Better Performance, Higher Impact?

Sustaining social accountability mechanisms in frontline service delivery:

Citizen empowerment & state response in four Indonesian districts

Jana C. Hertz RTI International



Social accountability & frontline service delivery

Social Accountability: citizens' collective actions to improve public services by

- identifying problems,
- bringing them to providers' attention, and
- constraining the state's use of power.



Question: Under what conditions are SA tools effective and sustained?



Study parameters & methodology

Kinerja SA tools

- Complaints Handling Survey (CHS)
- Service Charter (SC)
- Multi-Stakeholder Forum (MSF)

Identify

- 1. Replication of SA tools
- 2. Changes in service delivery
- 3. Attitudes:
 - Towards SA
 - Broader changes in practices from citizen engagement
- 4. Contextual factors

Methodology

- 60 semi-structured interviews
- Respondents: MSF members, frontline providers, district officials, CSOs & Kinerja staff
- 4 districts in Aceh & West Kalimantan
- 15 primary health centers
- February-March 2014
- Topics: context, Kinerja implementation, outcomes, replication



Perceived changes in service delivery

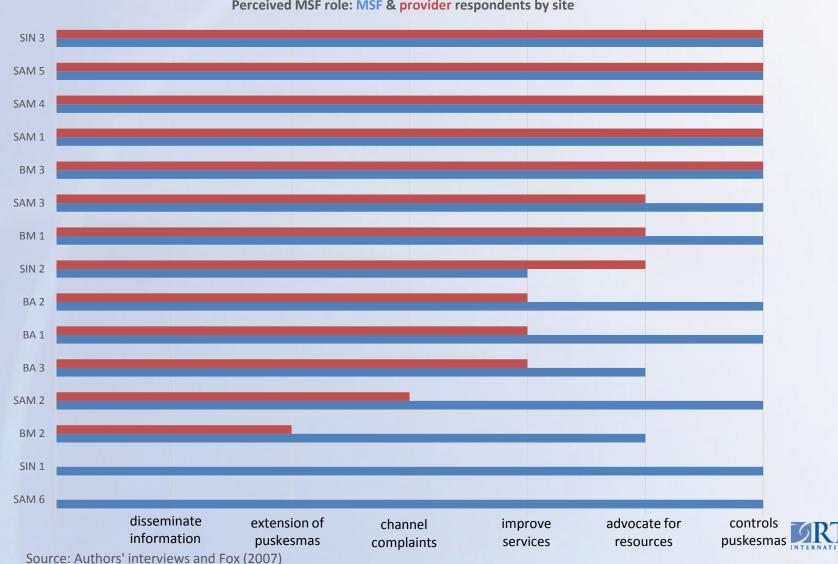
Site	Provider	MSF
Banda Aceh		
BA1		
BA2		
BA3		
Bener Meriah		
BM1		
BM2		
ВМЗ		
Sambas		
SAM1		
SAM2		
SAM3		
SAM4		
SAM5		
SAM6		
Singkawang		
SIN1		
SIN2		
SIN3		

Source: Authors' interviews



Divergent views of social accountability





Effects of context vary

Comparison of context & implementation factors for high/low SA sites

Site	Prior complaints mechanisms	Prior provider-user relations	Provider questions CHS methodology
BM 3	Effective		
SAM 4	Effective		X
SAM 1		Antagonistic	X
SAM 5	Not effective	Antagonistic	
SIN 3	Not effective	Antagonistic	X
SAM 6	Not effective	Antagonistic	X
SIN 1		Antagonistic	X

Source: Authors' interviews



Contrasts between districts committed to SA

	Bener Meriah	Sambas
Replication plans	2 additional puskesmas	All puskesmas in district Regulatory changes & incentives
Puskesmas context		
prior provider-user relations	None	Antagonistic
prior complaints mechanisms	Effective	Mostly ineffective
negative provider reactions to CHS	None	Consistently negative
Broader changes		
Increased responsiveness	√	$\sqrt{}$
Inclusion of non-state actors	$\sqrt{}$	\checkmark
Expanded citizen participation	\checkmark	
Quotes characterizing commitment to SA	"Criticism is like vitamins; they help to improve our system." "If it is just the <i>Dinas</i> on its own [w/o civil society], the program will not get very far."	Dinas supports Kinerja "because it changed providers' mindsets and performance." "Now we just try to make services comfortable so that more patients will come."



Interpretation and recommendations

Distinct patterns of commitment to SA

1. Citizen-centered: involving citizens as partners in improving service delivery

Client-centered: helping providers identify priorities for improving services



Recommendations:

- Leverage reforms to provide institutional incentives and sanctions for provider responsiveness
- Ensure that invited spaces directly engage providers with citizens



Interpretation and recommendations

Aligned citizen & provider attitudes towards SA increases sustainability and hard accountability

- Inherent problem of lack of responsiveness from providers who see a smaller role for MSFs than citizens
- If MSFs insist on accountability, but providers do not see as legitimate role, SA may be futile & disempowering

Recommendations:

- Go beyond enumerating progress on SA tool implementation as gauge on sustainability
- Seek out contexts where SA tools are novel & address pressing needs



Interpretation and recommendations

Contextual factors are salient, but effects are inconsistent.

- Puskesmas demonstrated responsiveness in both positive and negative contexts
- Underscores importance of micro-contextual drivers of citizen engagement (Joshi, 2014)

Recommendations:

- Use contextual data as a guide, but be prepared for unexpected outcomes
- Encourage SA in both conducive and resistant contexts



More Information

Kinerja website:

http://www.kinerja.or.id

The International
Development Group Working
Paper on which this
presentation is based can be
downloaded here.

Anna Wetterberg

awetterberg@rti.org

Jana C. Hertz

jhertz@rti.org

Derick W. Brinkerhoff

dbrinkerhoff@rti.org

