



The General Data Protection Regulation

Ash Patel
Technical Director
RWA

Getting Ready for GDPR

GDPR: The risks for SMEs and those who insure them

- Where are you now?
- Where should you be and where do you need to be by May 2018?
- Are your own policies on data collection, maintenance and sharing GDPR compliant?
- What are the main risks for SMEs and how can you mitigate these?
- How can you help your clients get ready for the GDPR?

Steps To Consider Now

- Awareness – Key people should be aware that the law is changing
- Assign resource and responsibility
- Do you need a DPO?
- Set out your approach
- Information audit needed? What will this likely involve?
 - Data Discovery
 - Mapping data flow
 - identifying data in scope
 - Data disposal options

Steps To Consider Now

- Processing conditions of data
- Is your current consent adequate?
- Do you process data using enhanced technologies (DPIA)
- Think beyond the internal changes you will need to make (think Customers, think Individual Rights, think Employee Data)
- Requirements of GDPR must be in place by 25th May 2018
- Data protection is an ongoing requirement
- FCA and ICO and the extended powers of the Data Protection Bill

Steps To Consider Now

- Review your policies
 - Processing conditions
 - Procedures for individual rights
 - Seeking, obtaining and recording consent
 - New SAR time-scales and processes
 - What, where, who?

Steps To Consider Now

- Operate with confidence
 - Governance and Compliance Management
 - Document your approach
 - Equip your DPO and GRC function
 - Incident management (planning, testing, reporting, remediation)
 - Data leakage and the increased risks of crime
 - Who is responsible, how do inform Regulators, how do we contact data subjects (customers), what's our strategy, what about compensation

Steps To Consider Now

- Risks and how you can mitigate them
- Assessing and identifying potential problem areas
 - Will broker platforms provide provision for recording and reporting on new requirements?
 - What do you do with data and is it appropriately safeguarded?
 - Data passed to an third party processor
 - Is passed outside the EU?
 - You conduct wholesale arrangements?
 - Do you need to develop a data dictionary?

Steps To Consider Now

- What will happen if there is a data breach?
- Adopting 'Privacy by Design' for privacy enhancing technologies
- Continuity planning for impact of system failure
- Measures and procedures for making changes

Helping Your Clients

- New principle of accountability
 - Privacy built into systems by default
- Informing them about their new rights
 - Rights of data portability
 - Privacy notices
 - Consent for processing
 - Consent for marketing
 - SAR requests (anonymised or pseudonymised data)

Helping Your Clients

- Develop your Internal policy
- Provide training for all staff
- Audit your processing activities
- Review your approach to HR data

Questions?

For further information, please contact:

ash.patel@rwagroup.co.uk