



FUND ACTION



FUND DIRECTIONS

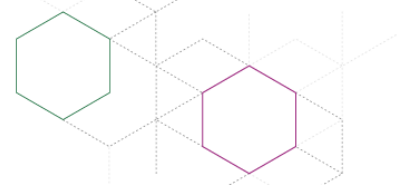


FUND INTELLIGENCE

March 30, 2017

24th Mutual Fund Industry Awards

Cipriani 42nd Street, New York



2017 Awards Shortlist

Rising Stars of Mutual Funds

Benjamin Wells, Simpson Thacher & Bartlett

Jeremy Smith, Ropes & Gray

Kate Lakin, Putnam Investments

Matthew Kutner, Morrison Foerster

Marguerite Laurent, K&L Gates

Nicole Trudeau, Stradley Ronon Stevens & Young

Todd Rosenbluth, CFRA

Jeff Schwantz, Morningstar

Patrick Newcomb, FUSE Research

Harvey Steele, New York Life Investment Management

Hall Of Fame Inductees

State Street Global Advisors

Thomas Butch, Ivy Funds

Paul Dykstra, K&L Gates

Carl Frischling, Kramer Levin

George Gatch, JPMorgan Funds

Don Phillips, Morningstar

Paulita Pike, K&L Gates

Fund Action categories

Fund Directions Lifetime Achievement Award

Joe Mansueto, Executive Chairman, Morningstar

Fund Leader Of The Year

Tom Faust, Eaton Vance

Bill McNabb, Vanguard Group

Scott Miner, Guggenheim Investments

Joseph Sullivan, Legg Mason

Retirement Leader Of The Year

Dimensional Fund Advisors

Goldman Sachs Asset Management

John Hancock Retirement Plan Services

Morningstar

Fund Marketer Of The Year

Stephen Clarke, Eaton Vance's NextShares Solutions

Hilary Corman, BlackRock's iShares

Tom McCarthy, Fidelity Investments

Laura Morrison, Bats Global Markets

Ad Campaign Of The Year

Franklin Templeton Investments

JPMorgan Asset Management

OppenheimerFunds

Sales Success Of The Year

American Funds American Balanced Fund

Goldman Sachs Active Beta US Large Cap ETF

iShares Fixed-Income ETF Suite

Janus Enterprise Fund

Victory Sycamore Established Value Fund

Deal Of The Year

Amundi buys Pioneer Investments

Eaton Vance buys Calvert Investments

Henderson buys Janus Capital Group

Virtus Investment Partners buys RidgeWorth Investments

Fund Directions Categories

Fund Directions Lifetime Achievement Award

Jamie Baxter, chair, Putnam Funds

Trustee of the Year

Ralph Verni, Eaton Vance Funds

Dawn Vroegop, MetLife Funds

Gary Schpero, AXA EQ Advisors Trust

George Morriss, Neuberger Berman Funds

Small Board Trustee

Ethan Powell, Highland Capital Management

John Palancia, Northern Lights Fund Trust III

Mary Joan Hoene, ASA Gold and Precious Metals Limited

Independent Counsel

Margery Neale, Wilkie Farr & Gallagher

Lori Schneider K&L Gates indie counsel Neuberger Berman

Alison Fuller, Stradley Ronan

Judge's Choice Awards

Newcomer Director

James Stavridis, Independent Director, Neuberger Berman

Anita Krug, Independent Director, Two Roads Shared Trust, Altegris KKR Commitments Master Fund, and Centerstone Investors Trust

Jean Carter, Independent Director, Bridge Builder Trust

Progressive Fund Board

Eaton Vance

Diamond Hill

Neuberger Berman