

**SUNGARD®**

## PROTEGENT COMPLIANCE

A proven platform for global regulatory compliance challenges



**I need a technology vendor  
with a proven track record  
that scales and broadens with  
my changing and increasing  
compliance needs.**



## BENEFIT FROM OUR WORLDWIDE EXPERIENCE

Trading strategies span multiple asset classes across several markets. Market participants are facing increasing regulatory pressure across their operations.

More and more regulatory enforcement is being placed in the hands of compliance officers to bridge the gap, Protegent provides the tools to effectively manage:

- › Personal trading/employee facing compliance
- › Control room information barriers and conflict of interest detection
- › Trade surveillance
- › Insider trading and suspicious pattern detection
- › Market abuse and Dodd Frank compliance
- › Social media surveillance
- › Policy management and branch audits



For more information, please visit:  
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