



DST BROKERAGE COMPLIANCE

A day 2 trade surveillance tool that helps you identify, escalate, and resolve issues with evidence

Features

- Comprehensive Trade Blotter
- Intuitive Case Management
- Robust DST-Managed Advisor Map

Benefits

- Efficiency – Manage your daily compliance workflow process
- Surveillance – Create a systematic process to oversee the field
- Evidence – Automatically archive cases

Deployment

- Affordable price point designed for IBD community
- Out of box SaaS model with quick speed to market

DST Brokerage Solutions was established as one of DST's core business verticals to address the growing challenges in the marketplace for broker dealers, such as disjointed systems, inconsistent data records, high percentage of NIGOs, a lack of automated processing tools, and the increasing regulatory environment that puts firms at risk. DST Compliance delivers a comprehensive solution to help firms meet the demands of a modern world.

To learn more please visit www.dstbs.com or contact:

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